

# PHENIX Beam Use Proposal

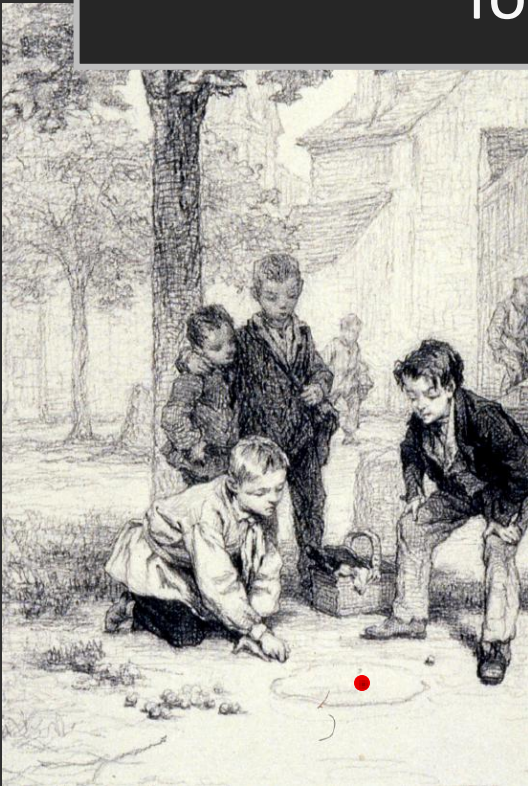
## Run-14 and Run-15

Jamie Nagle  
for the PHENIX Collaboration

Curiosity driven program...

Quantitative assessments...

Taking maximal advantage of RHIC  
uniqueness and versatility



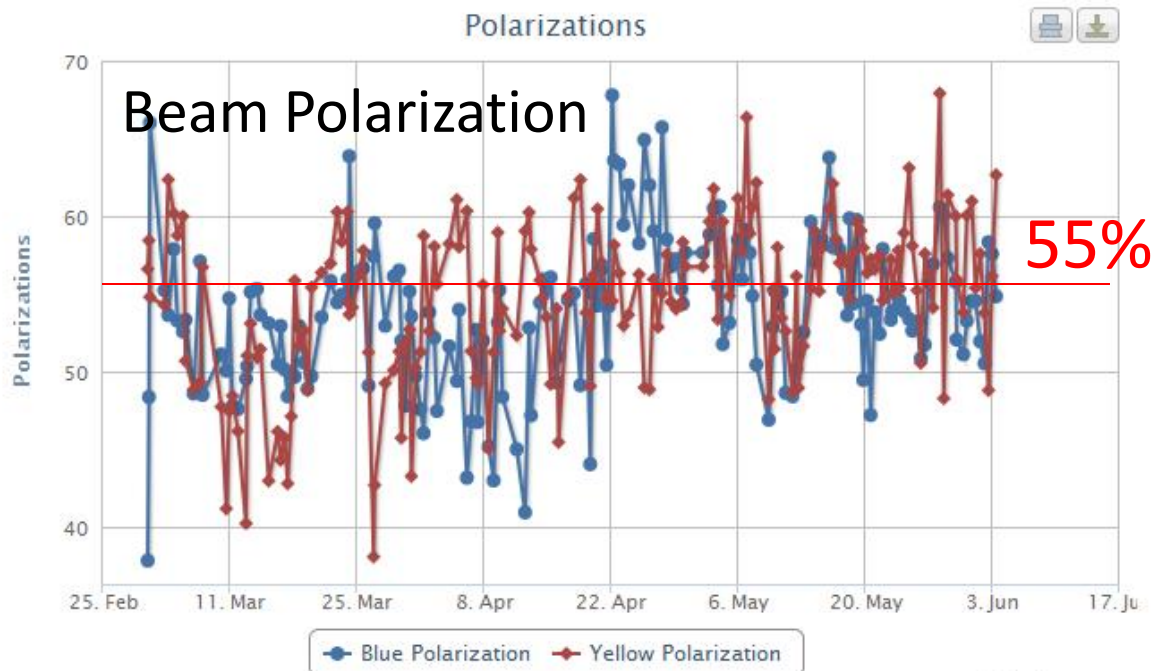
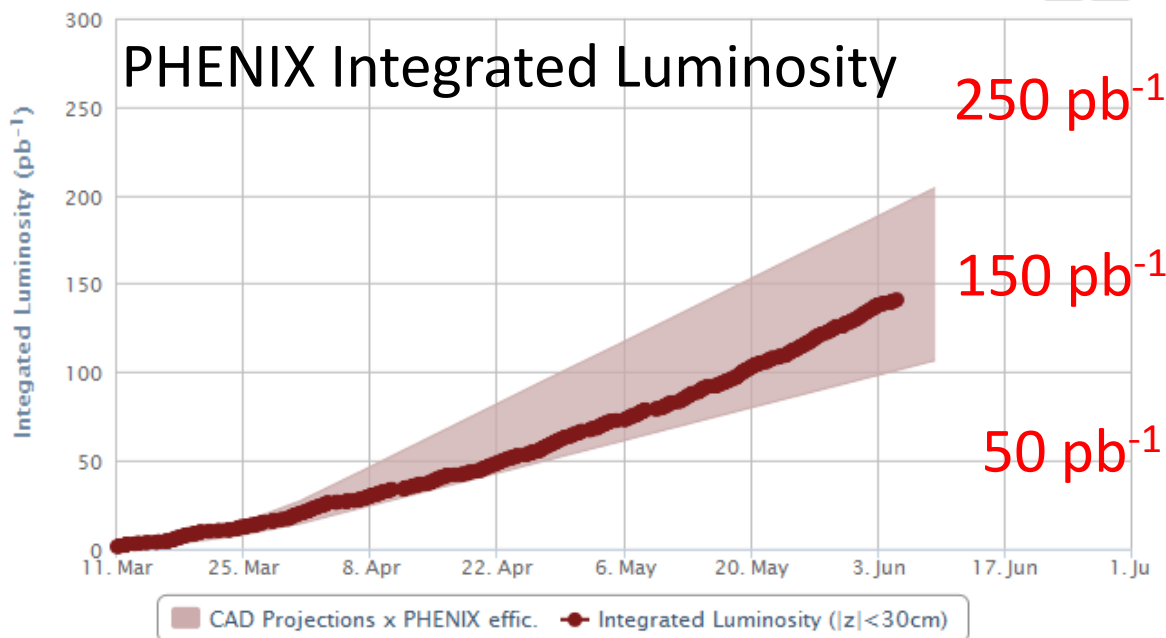
## Run-13 Report

Last year, PAC recommended highest priority was to integrate sufficient luminosity for p+p @ 500 GeV for a definitive W measurement (750 pb<sup>-1</sup> delivered)

*Note that this translates into 250 pb<sup>-1</sup> sampled by PHENIX within  $|z| < 30$  cm*

The entire Run-13 has been p+p @ 500 GeV following the PAC guidance

Multiple machine / polarization issues early on... Goal seemed very far away, and then some key breakthroughs and an extension of the run

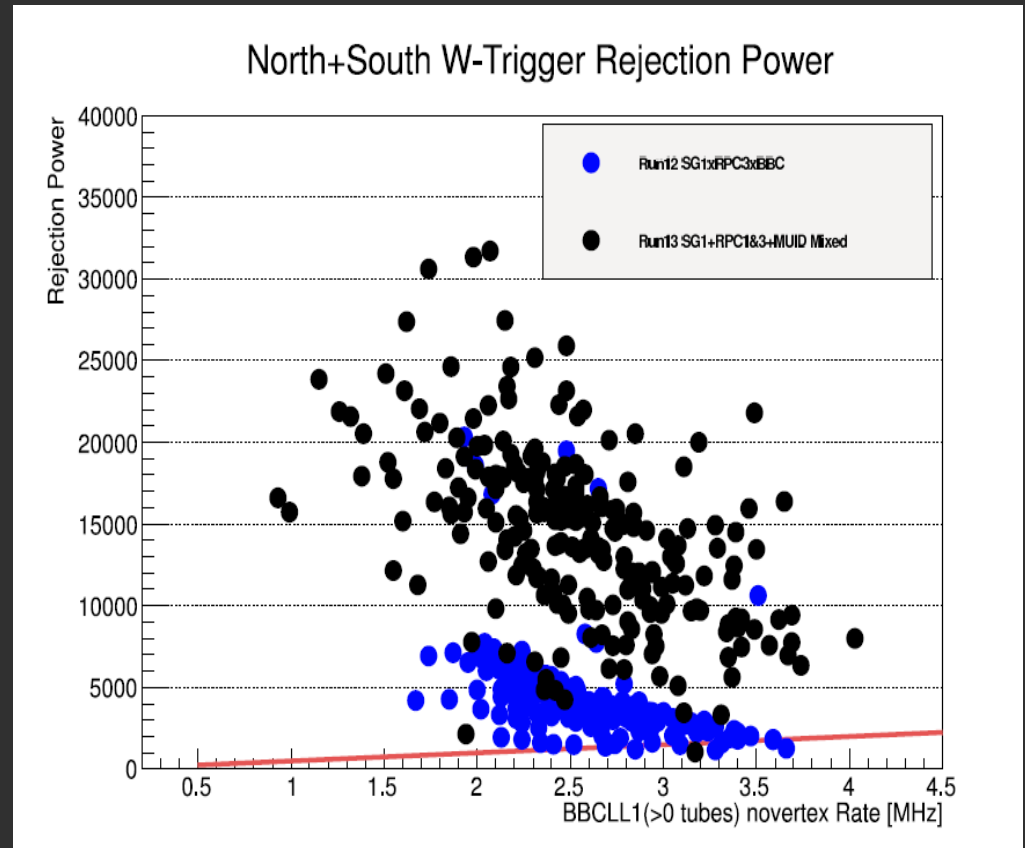


Early May,  
polarization issues  
had been largely  
resolved and  
luminosities delivered  
were nearing or  
exceeding CA-D  
projected maximum  
values.

More modest goal of  
150  $\text{pb}^{-1}$  was set  
(compare to 250  $\text{pb}^{-1}$ )  
and ~150  $\text{pb}^{-1}$  is  
now on tape!

# PHENIX Detector Performance in Run-13

- $W \rightarrow \mu$  trigger now fully implemented and gave more than sufficient rejection for full luminosity sampling
- High DAQ livetime > 90%
- Good PHENIX uptime ~ 70%
- FVTX working very well and demonstrated benefit for W background rejection



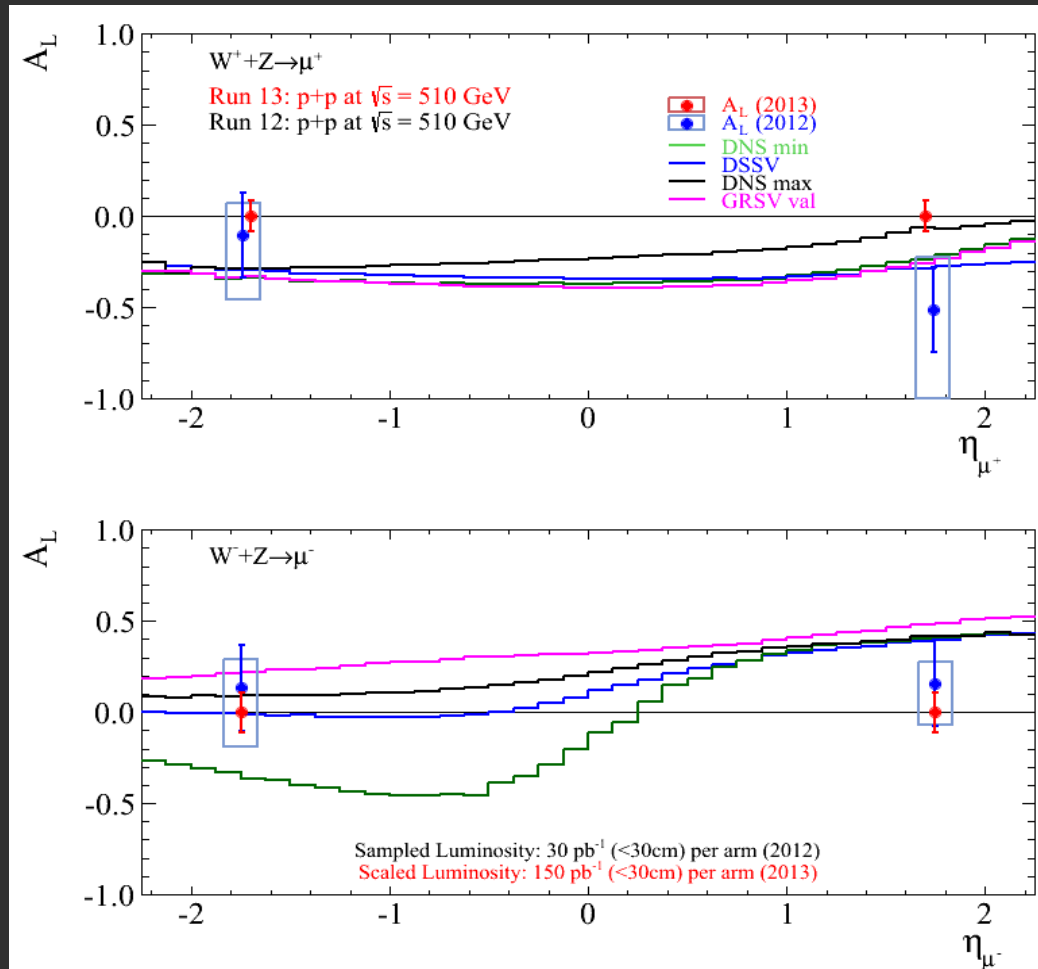
Major problem with VTX cooling leak.

Since not critical for p+p @ 500 GeV physics goals, decision to remove and maximize time for readiness in Run-14.

Producing  $W \rightarrow \mu$  data set is nearly real-time.  
Full data set production expected to be finished in 2-3 weeks.  
One month long SpinFest at RIKEN in July 2013 is planned and  
expect first look at full statistics physics result.

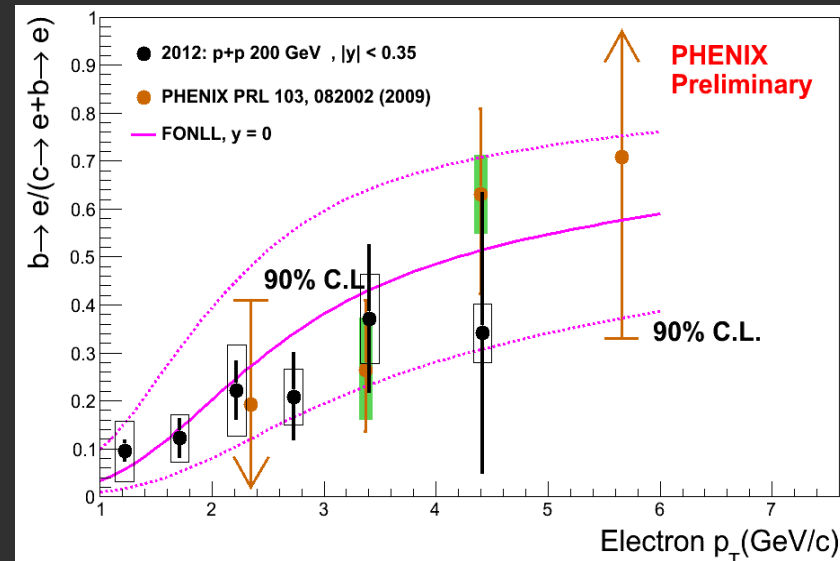
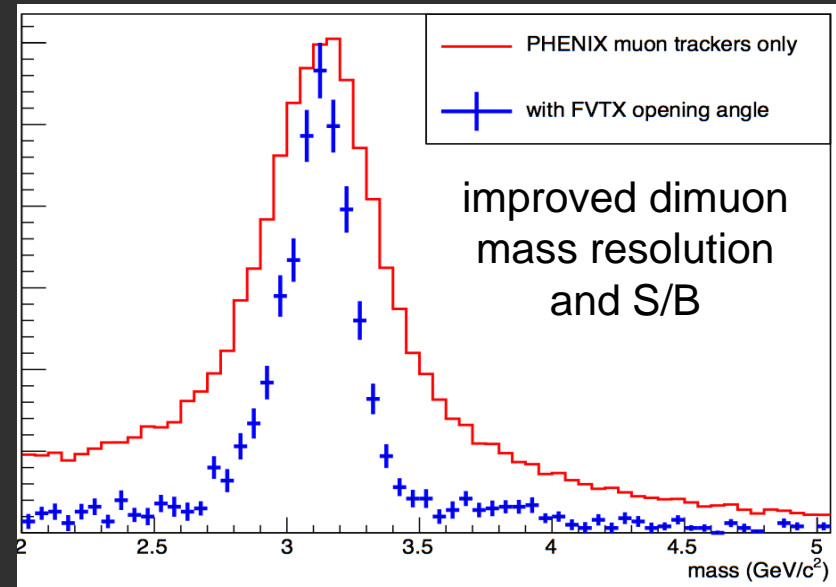
**Conservative S/B  
projected uncertainties  
from Run-13  $150 \text{ pb}^{-1}$**

We are very hopeful  
that the PHENIX and  
STAR data combined  
will result in exciting  
new insights on the  
 $u(\bar{u})$ ,  $d(\bar{d})$  spin  
contributions



# Run-14/15 Key Detector Upgrades (Silicon Vertex Detectors)

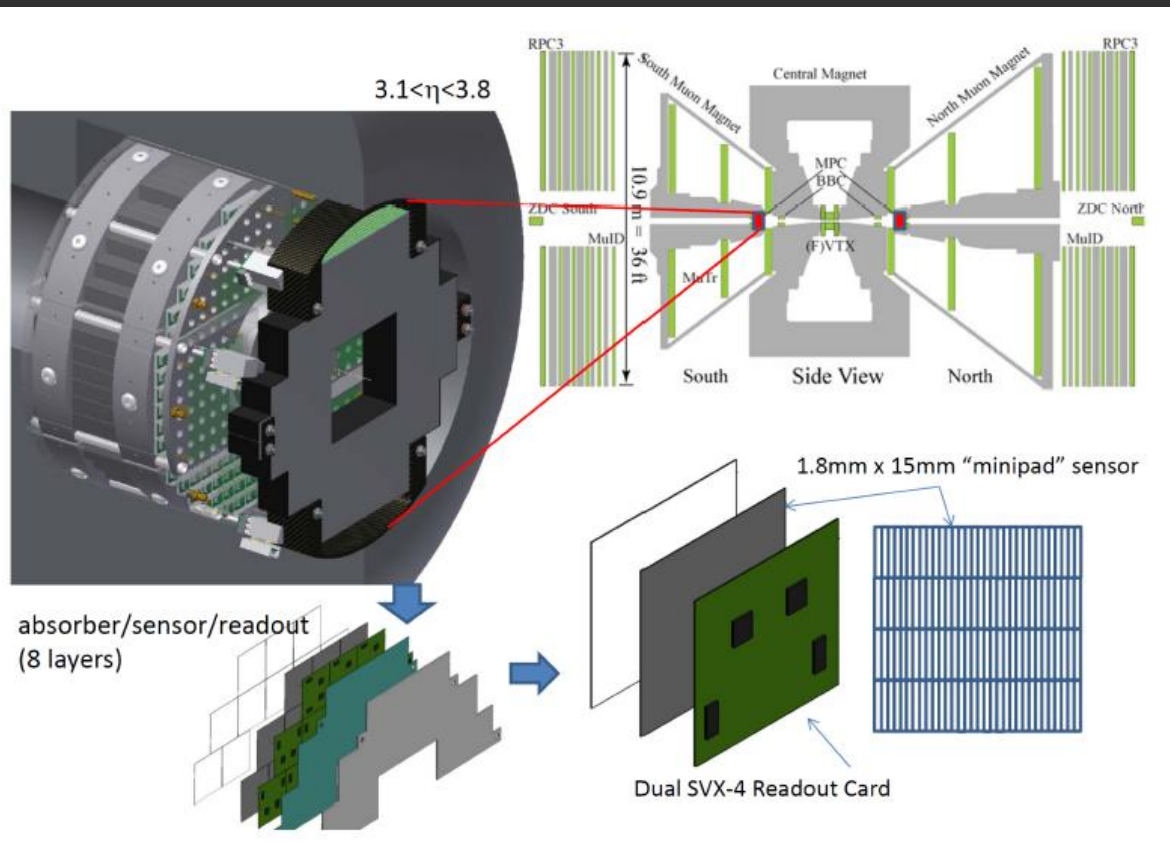
- FVTX (forward rapidity) commissioning run in Run-12
  - > 96% live active area
  - Fast analysis results indicate performance exceeding specifications
  - Displaced vertex results for open heavy flavor from Run-12 Cu+Au expected soon
- VTX commissioning run in Run-11
- Preliminary p+p charm/beauty results
  - Significant detector performance issues have slowed progress
  - Repair plans in place for Run-14 readiness



# MPC-EX Upgrade

The PHENIX MPC Crystal Calorimeter ( $|\eta|=3.1-3.8$ ) has played a critical role in our forward (low- $x$ ) and transverse spin physics program

MPC-EX upgrade adds novel silicon tracking / preshower detector to enable *direct photon* identification and  $\pi^0 \rightarrow \gamma\gamma$  to higher momentum



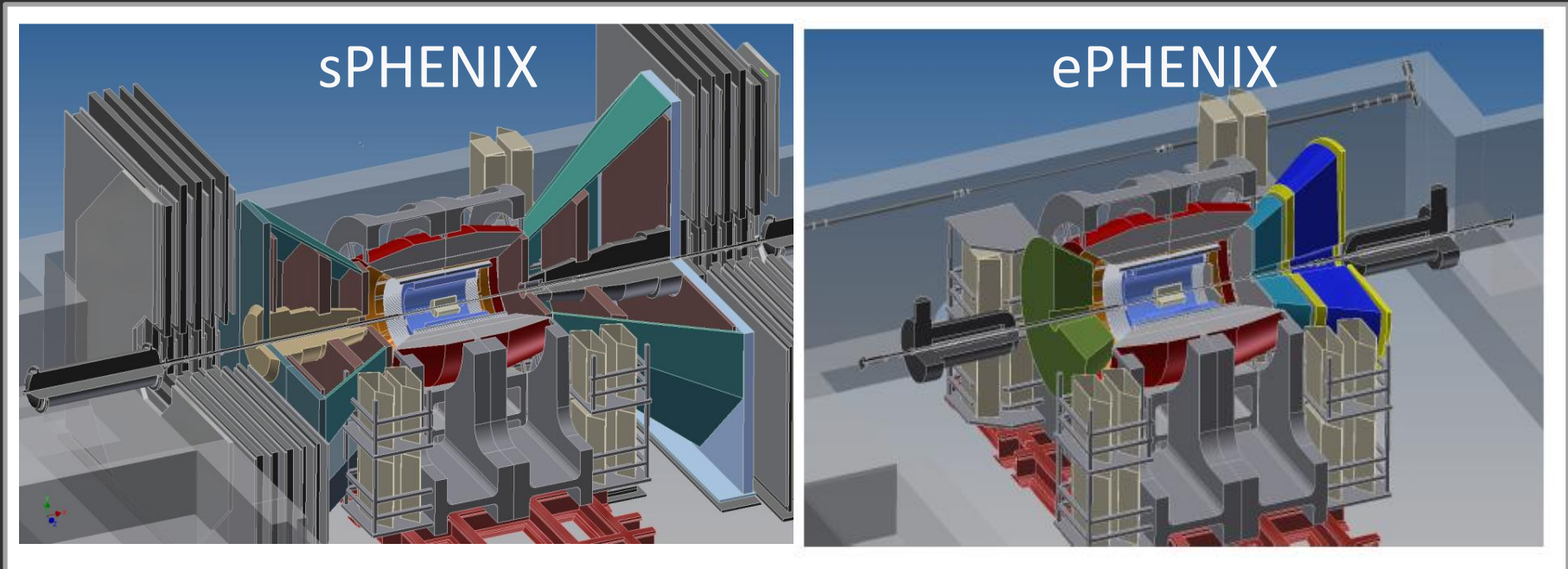
Beam test in fall,  
and section  
available for  
integration tests  
in Run-14

*Full detector  
available for  
physics in Run-15*



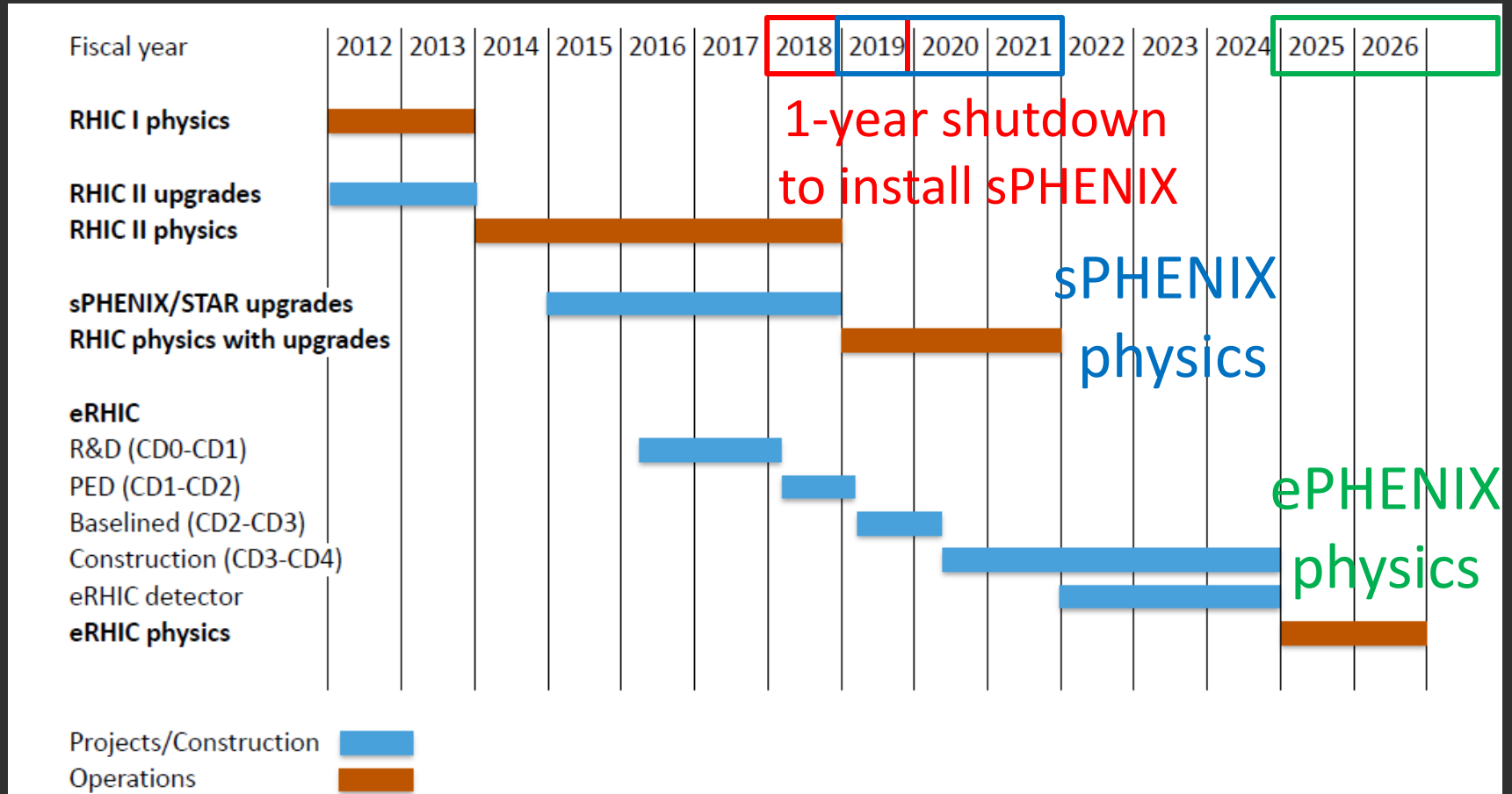
# sPHENIX & ePHENIX

- Major changes in PHENIX detector
- Greatly increased acceptance (x20-50 in many channels) and key new detector capabilities
- Some current PHENIX capabilities no longer available after 2016 – 2017...





# Brookhaven Lab Timeline



**Figure 1:** Official BNL timeline through the mid-2020s, including a transition to eRHIC.

Run-14/15/16: Thinking in terms of definitive measurements  
(not hints to return to later)

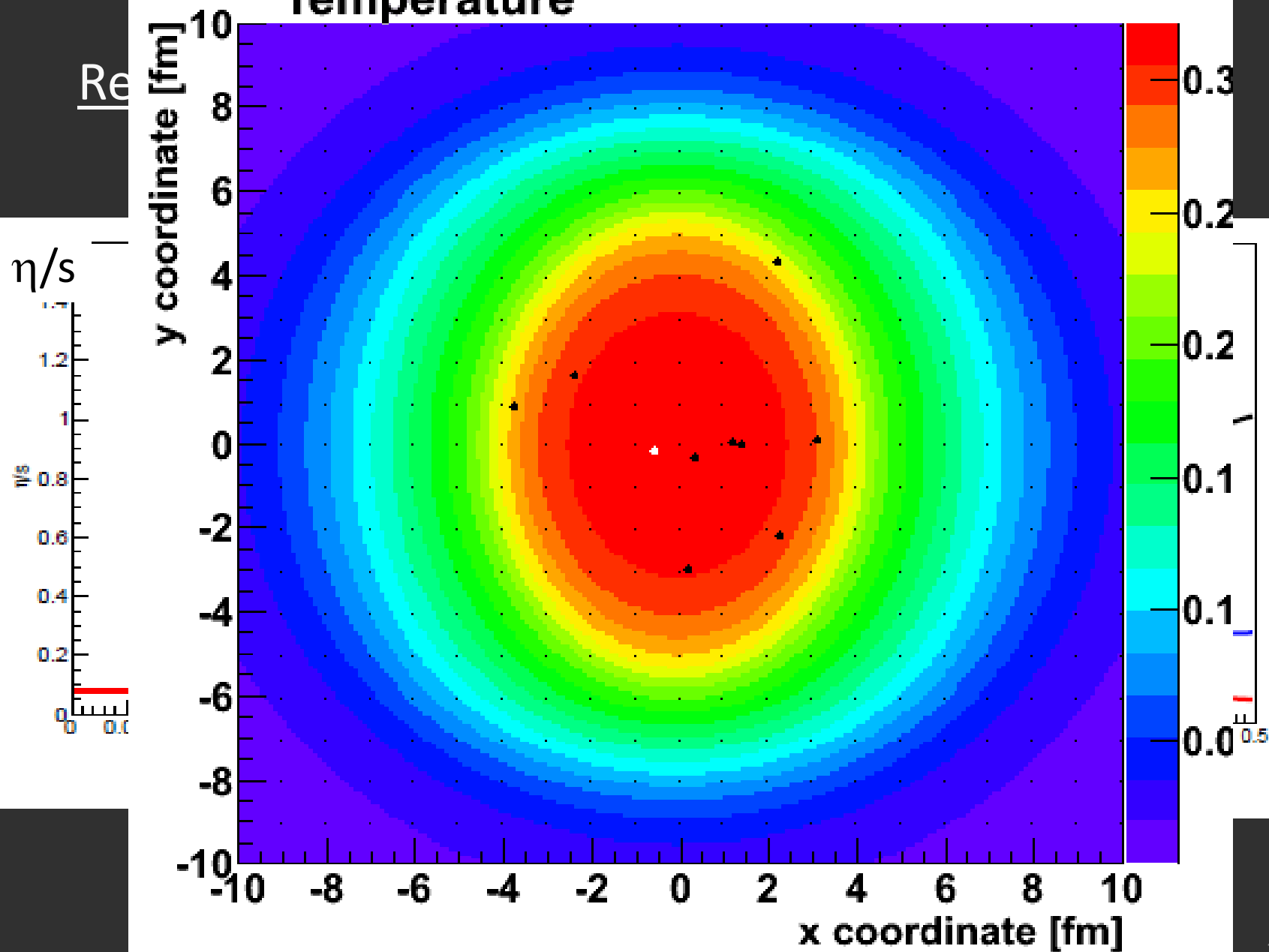
# Run-14 Request

## Run-14 Proposal (22 cryo-weeks)

- Au+Au @ 200 GeV for 12 weeks [Physics driven goal is  $1.5 \text{ nb}^{-1}$  recorded within  $|z| < 10 \text{ cm}$ ]
- $p+p$  @ 200 GeV with longitudinal polarization for 6.5 weeks [Physics driven goal is  $30 \text{ pb}^{-1}$  sampled within  $|z| < 30 \text{ cm}$  and  $\langle \mathcal{P} \rangle = 60\%$ ]

*In the scenario of only 15 cryo-weeks, we would only be able to run Au+Au @ 200 GeV*

# Temperature



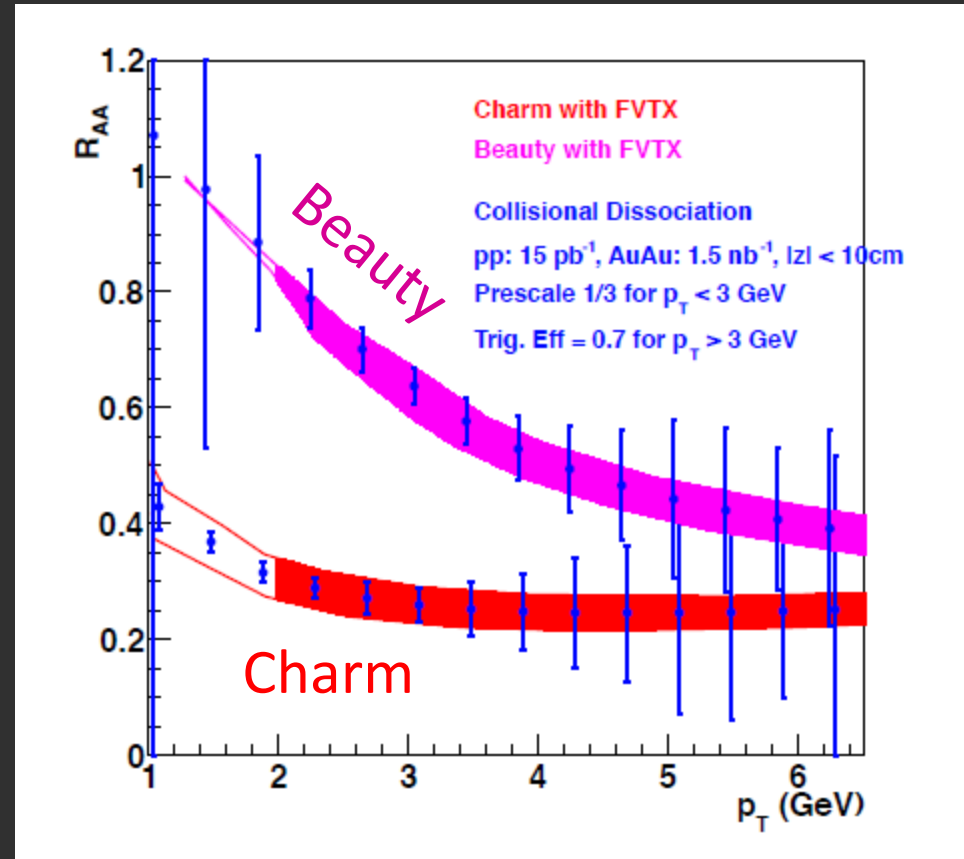
# Run-14 Au+Au @ 200 GeV Request

1.5 nb<sup>-1</sup> request is driven by  
charm / beauty physics

FVTX first Au+Au data set

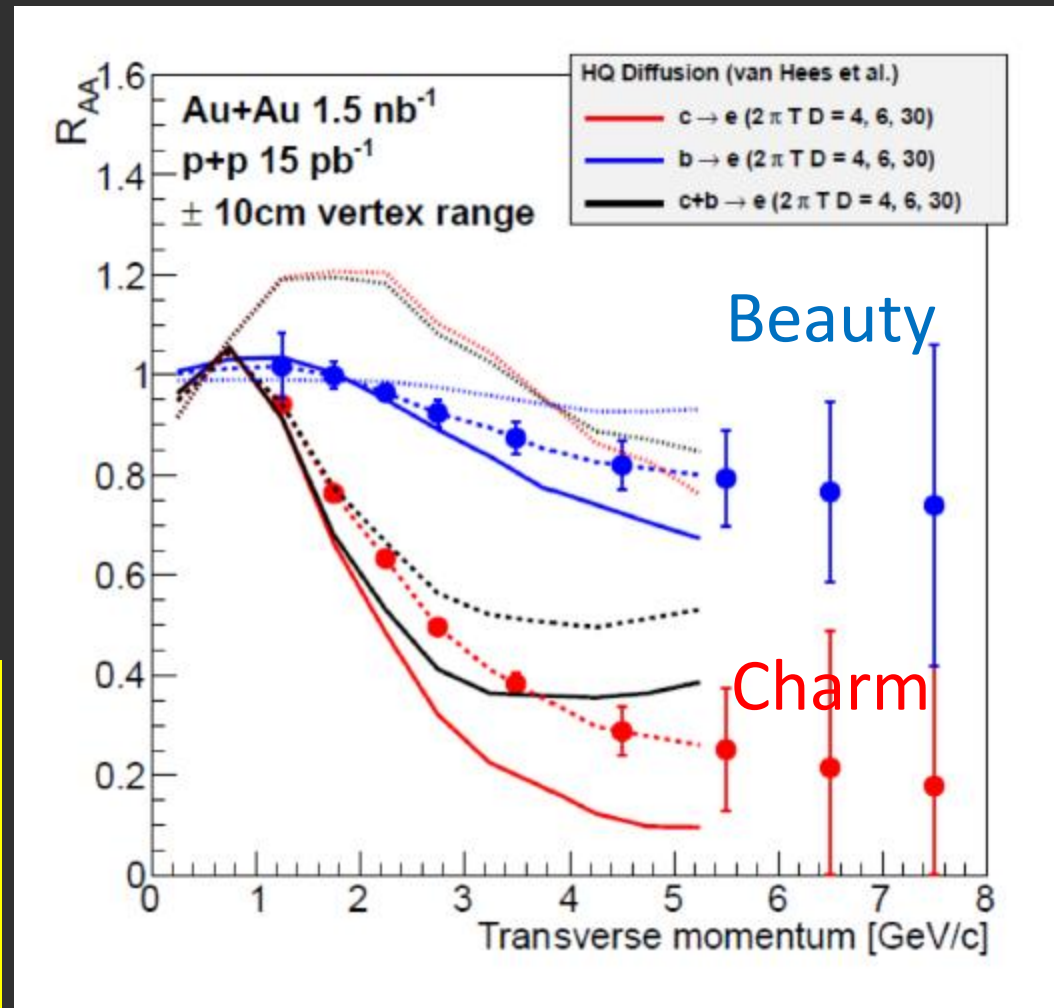
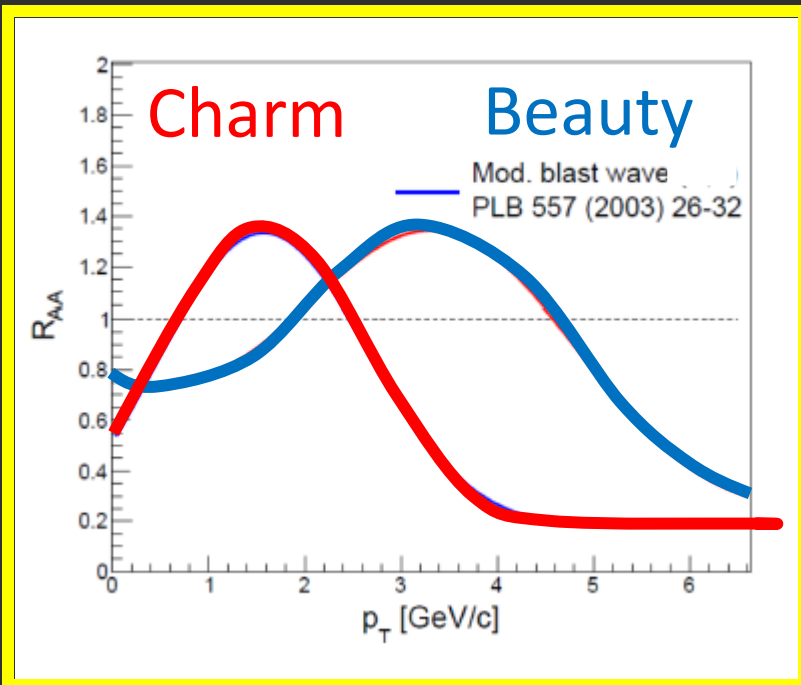
Example projected  
uncertainties in collisional  
dissociation model

Bands include unfolding  
systematic uncertainties



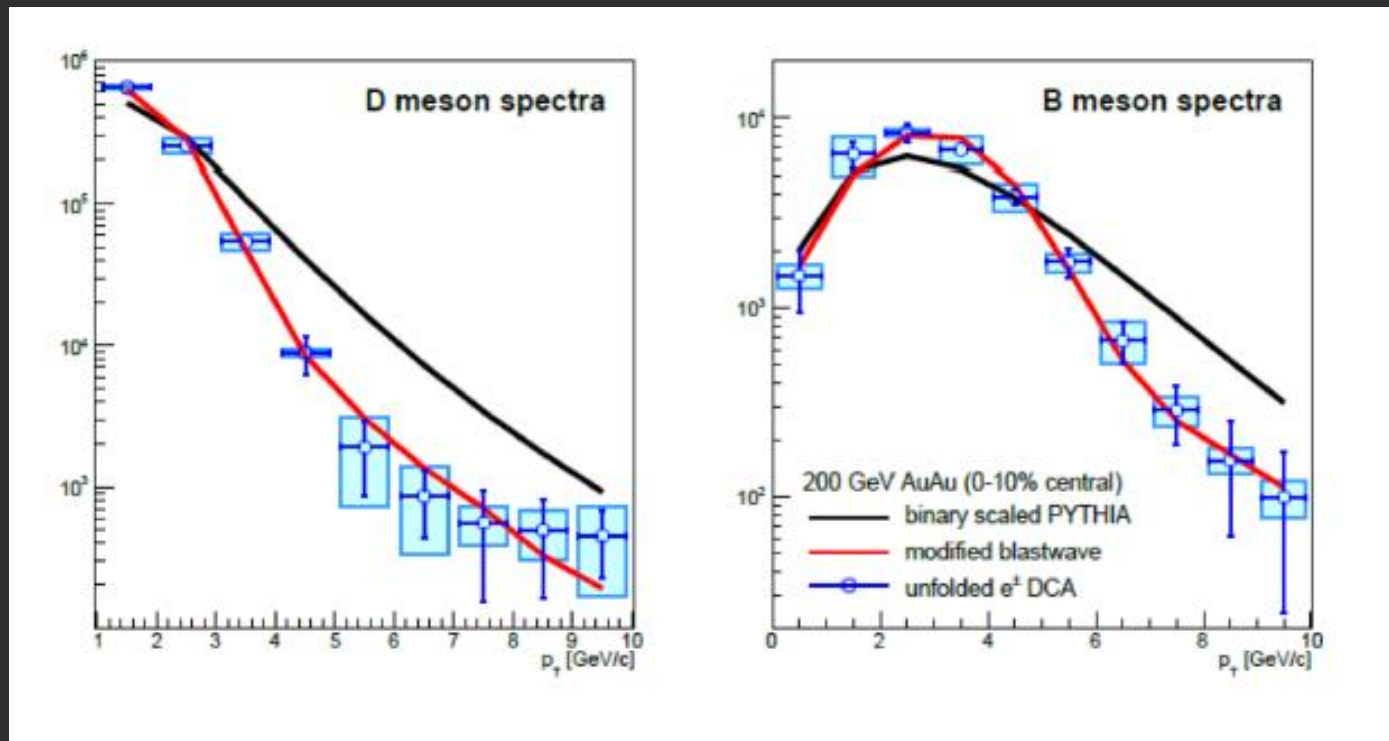
12 weeks is our estimate to obtain the physics driven goal  
(see backup slides for full details)

VTX projected  
uncertainties and  
sensitivity relative to  
heavy quark  
diffusion parameter



In extreme scenario of beauty  
quarks following flow field,  
very different prediction

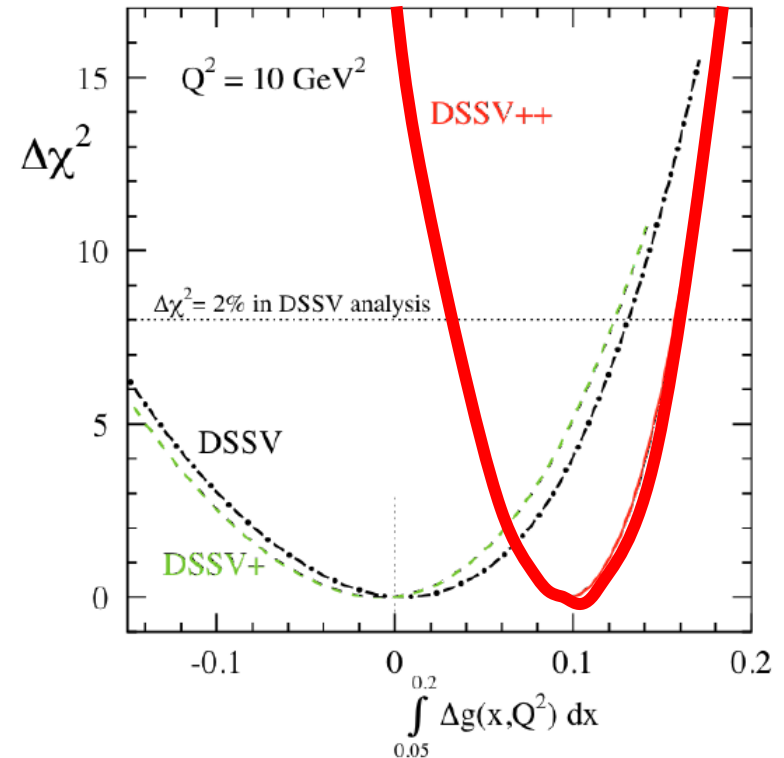
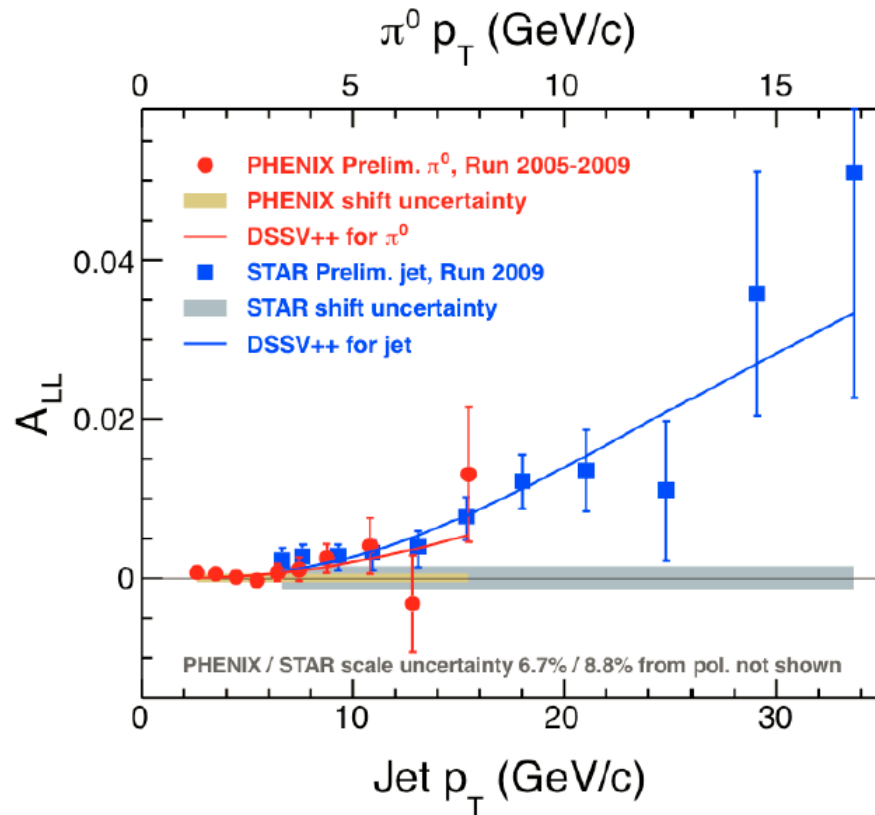
# Example full unfolding from DCA electrons to parent mesons



- Au+Au data set will also provide a factor of 2.5 more statistics for all measurements
  - For  $J/\psi$  and  $\psi'$ , significantly improved mass resolution and background rejection
- Definitive Heavy Quark + Quarkonia Au+Au Data Set

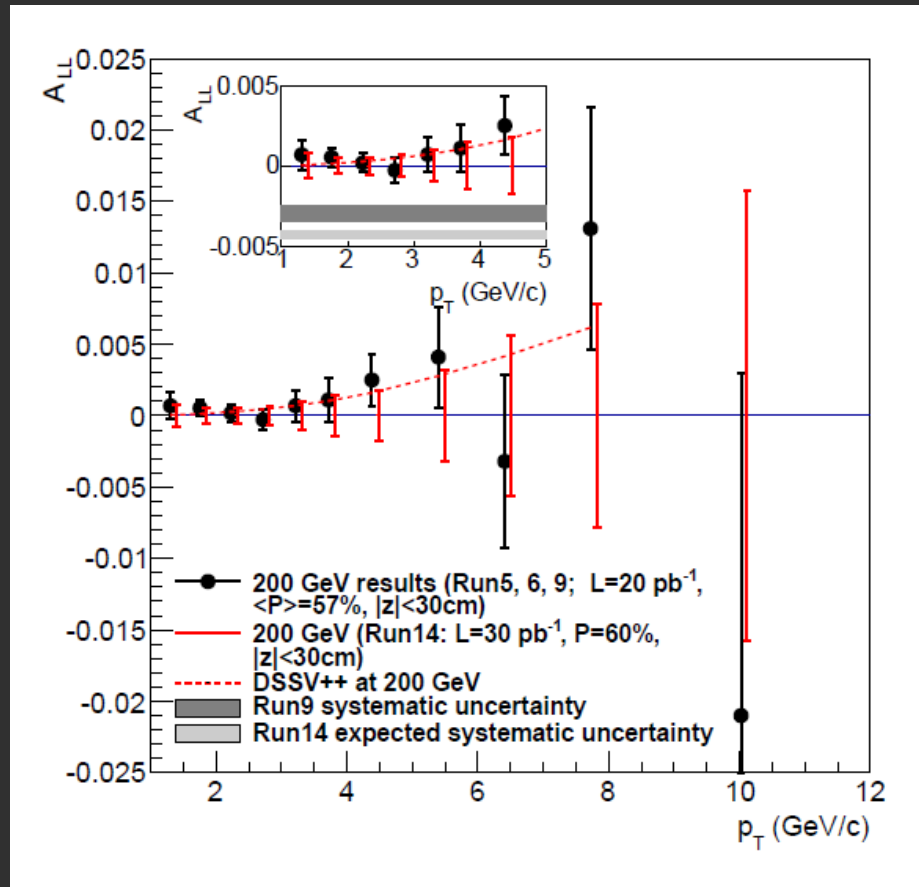


# Run-14 p+p (Long. Pol) @ 200 GeV Request



First indication of non-zero gluon contribution to proton spin!  
 PHENIX publication of these results in the next month.  
 Critical to improve the low- $x$  (low  $p_T$   $\pi^0$   $A_{LL}$ ) constraint!

# p+p (long. pol.) @ 200 GeV for 6.5 weeks (30 pb<sup>-1</sup> |z|<30cm)



Doubling statistical precision, and more importantly  
significantly reduced systematic uncertainty  
Also provides timely baseline measure for Au+Au data

# Run-15 Request

## Run-15 Proposal (22 cryo-weeks)

- $p+p$  @ 200 GeV with transverse polarization for 9 weeks [Physics driven goal is  $50 \text{ pb}^{-1}$  recorded within  $|z| < 40 \text{ cm}$  and  $\langle \mathcal{P} \rangle = 60\%$ ]
- $p+\text{Au}$  @ 200 GeV with transverse polarization of the proton for 4 weeks [Physics driven goal is  $150 \text{ nb}^{-1}$  sampled within  $|z| < 40 \text{ cm}$  and  $\langle \mathcal{P} \rangle = 60\%$ ]
- Geometry studies with  $d+\text{Au}$  @ 200 GeV and  $^3\text{He}+\text{Au}$  @ 200 GeV for 1 week each [Physics driven goal is recording 1 billion minimum bias events for each]
- $p+\text{Si}$ ,  $p+\text{Cu}$  @ 200 GeV for 2 weeks each [Physics driven goal is  $450 \text{ nb}^{-1}$  and  $225 \text{ nb}^{-1}$ , respectively, sampled within  $|z| < 40 \text{ cm}$  and  $\langle \mathcal{P} \rangle = 60\%$ ]

*In the scenario of only 15 cryo weeks, we would only be able to run a shorter  $p+p$  and  $p+\text{Au}$  @ 200 GeV*

Note that not utilizing additional collision combinations greatly diminishes the utility of the measurements and does not fully exploit the uniqueness of RHIC

# Transverse Spin Physics

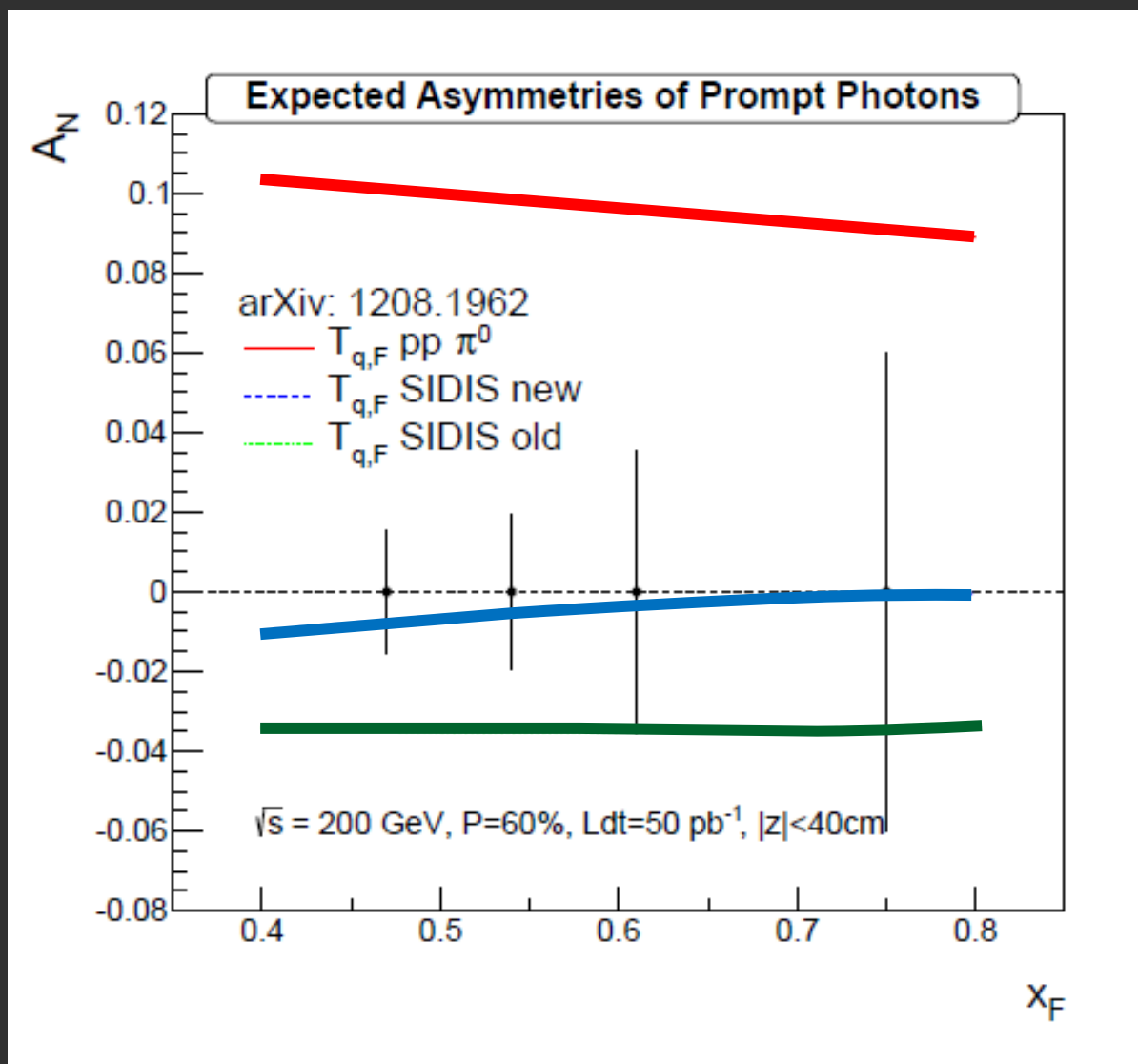
Single spin asymmetries  $A_N$  in transversely polarized p+p collisions may contain key information on the parton's transverse motion in the transversely polarized proton (i.e. language already hinting at orbital angular motion)

Different theoretical approaches  
(TMD factorization and Collinear twist-three factorization)  
TMDs include Sivers and Collins functions

Direct photon  $A_N$  is an excellent clean test  
almost exclusively sensitive to Sivers

Also, good measure of twist-three quark-gluon correlator  $T_{q,F}$

# p+p (transverse pol.) @ 200 GeV for 9 weeks ( $50 \text{ pb}^{-1}$ $|z| < 40 \text{ cm}$ )



Utilize unique capabilities of MPC-EX upgrade

Direct photon with no final state interactions

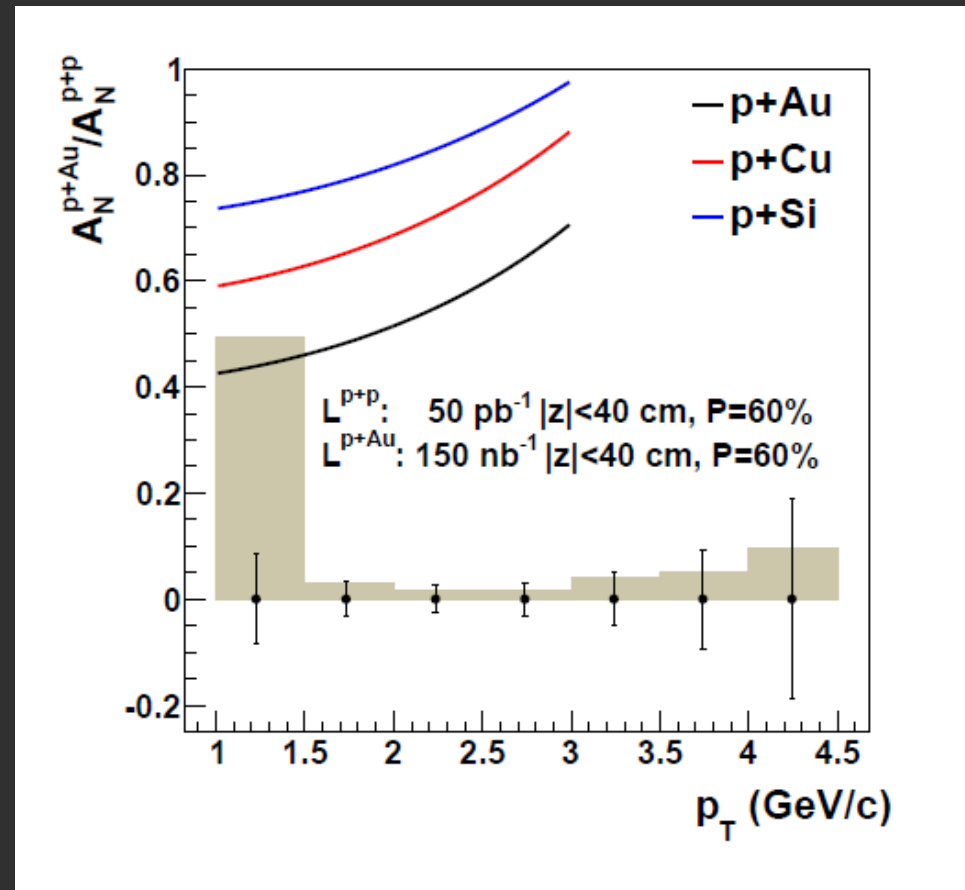
Uncertainties clearly resolve sign disagreement for  $T_{q,F}$

# p+Au with transversely polarized proton

New theory developments... Transverse polarization  $A_N$  in p+A scales with the saturation scale for  $p_T < Q_s$

Completely unique RHIC access to saturation physics  
p+Au measurement with projected uncertainties in 4 weeks ( $150 \text{ nb}^{-1} |z| < 40 \text{ cm}$ )

Testing geometric scaling with Si, Cu target nuclei  
Comparable uncertainties with 2 week runs



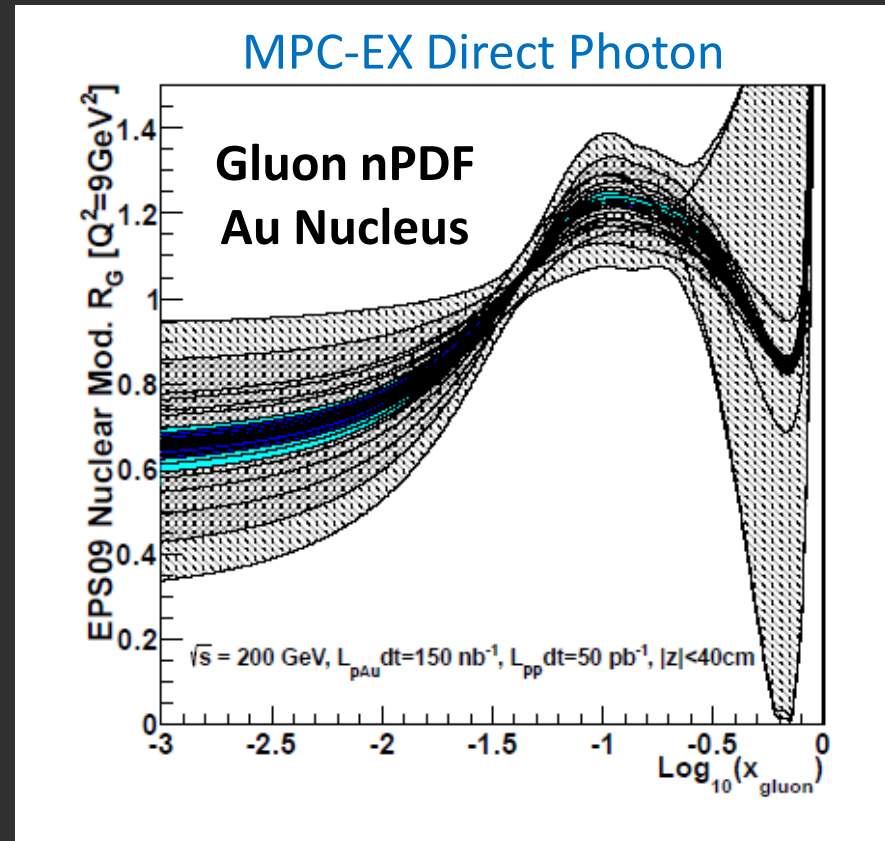
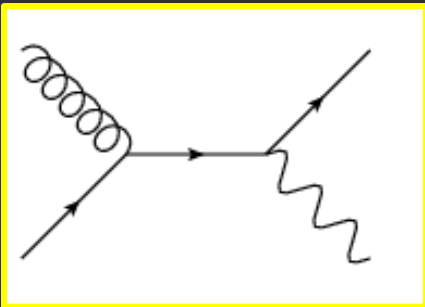


# Constraining Gluon nPDFs

Strong indications of low- $x$  shadowing/saturation physics with d+Au J/ $\psi$ , e- $\mu$  correlations, h-h correlations, single muons, electrons, ...

And yet, all have final state interactions.

Golden channel direct photon



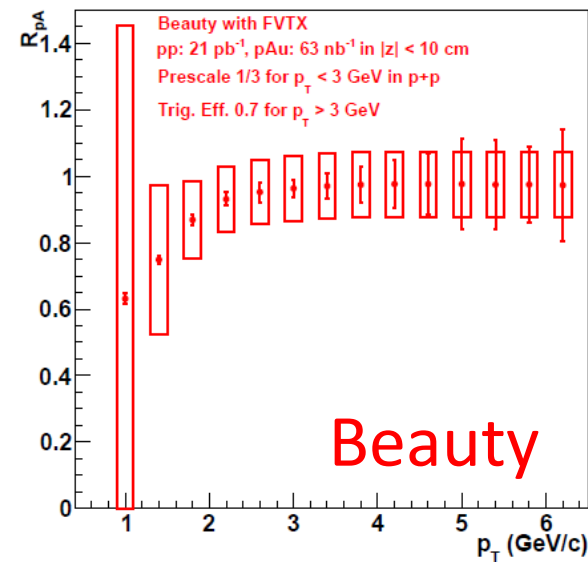
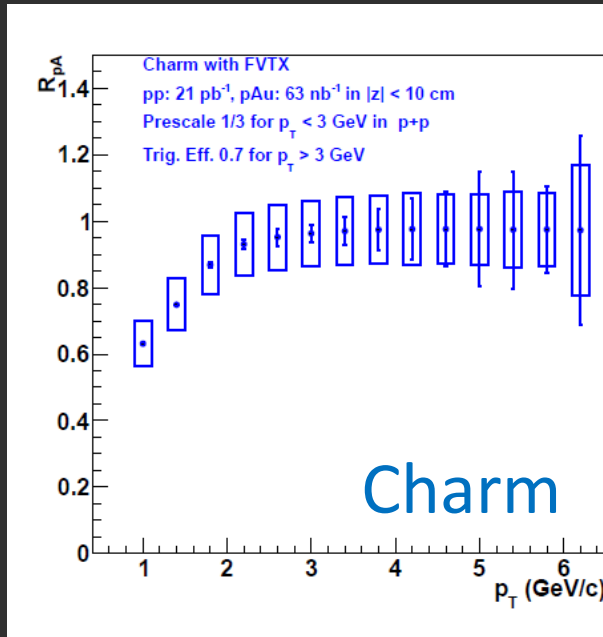
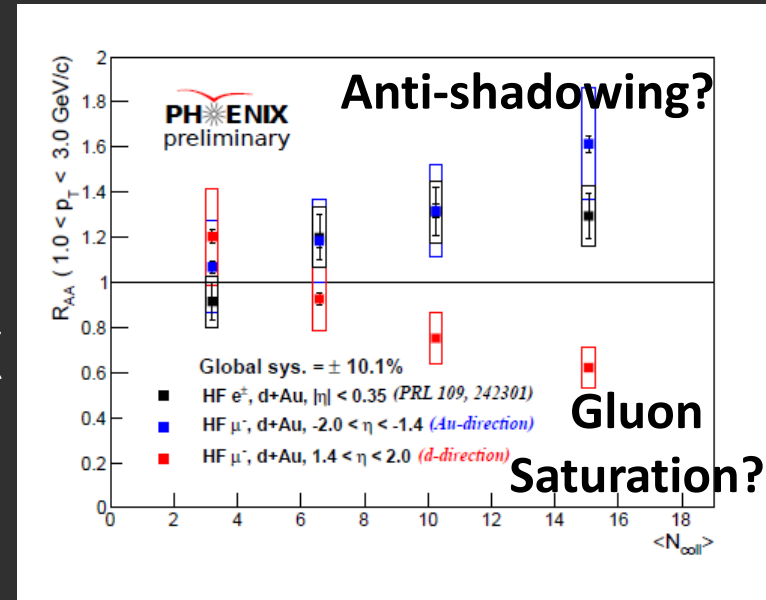
Using full statistical / systematic constraint method on EPS09 nPDFs, blue bands indicate projected measurement (**1, 2  $\sigma$**  level)

# Open Heavy Flavor Probes of nPDFs and More

Another handle on gluon nPDF  
and critical baseline for quarkonia

Measure open charm and beauty at  
forward/backward rapidity with FVTX

Can we run p+Au and Au+p for  
systematic checks (*a la* LHC p+Pb)?

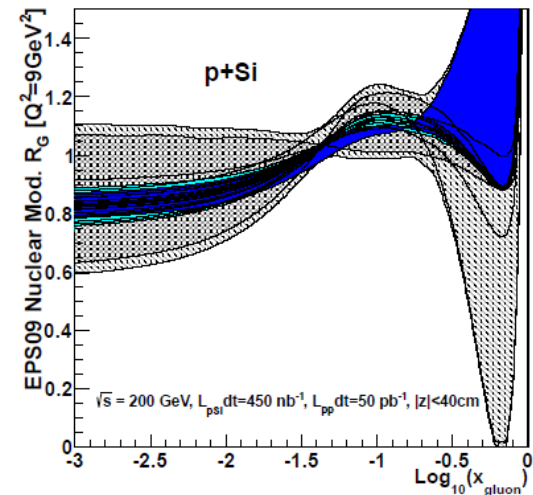
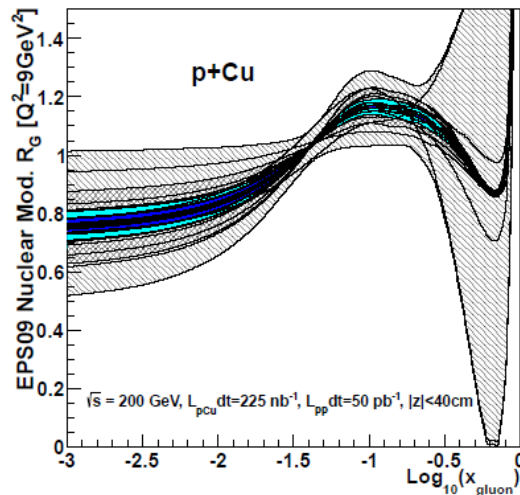
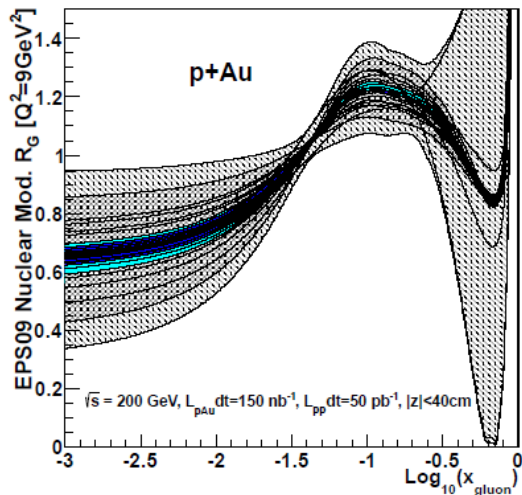
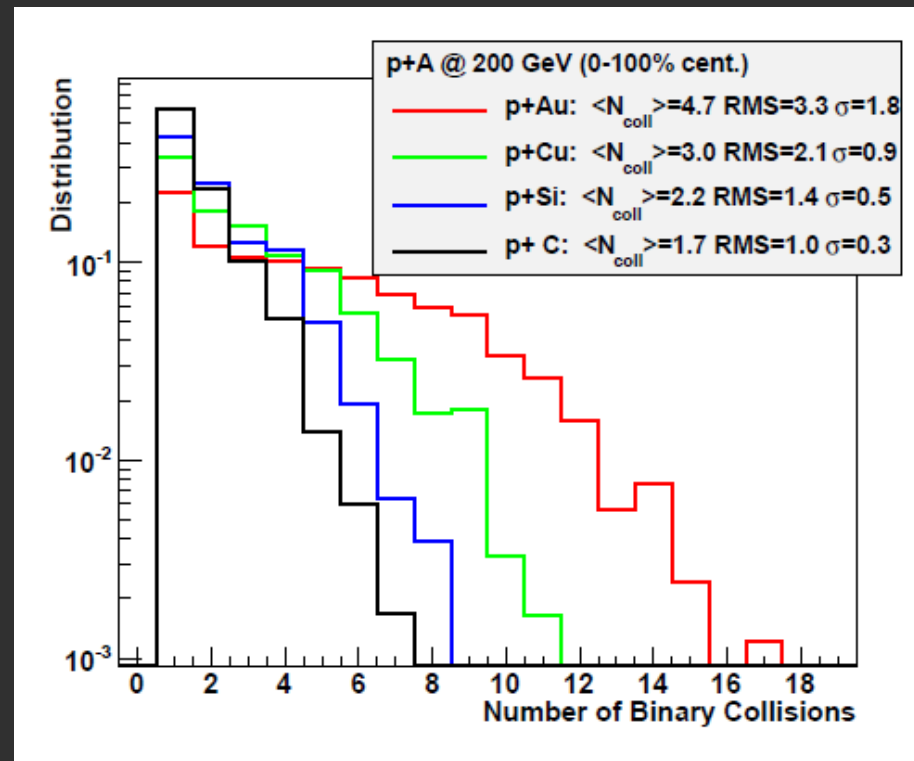


# Geometry Test

DIS measures give geometry  
averaged nPDF

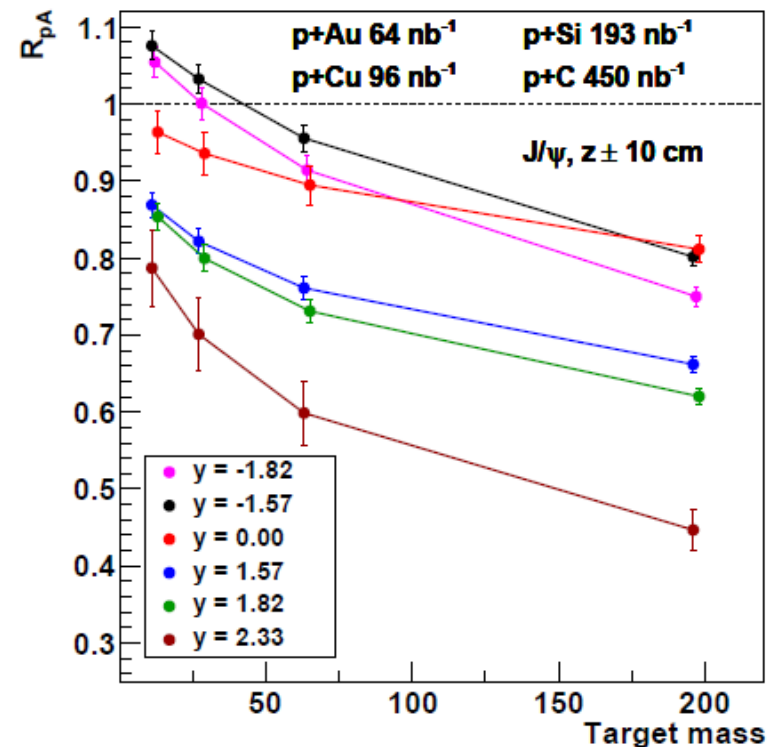
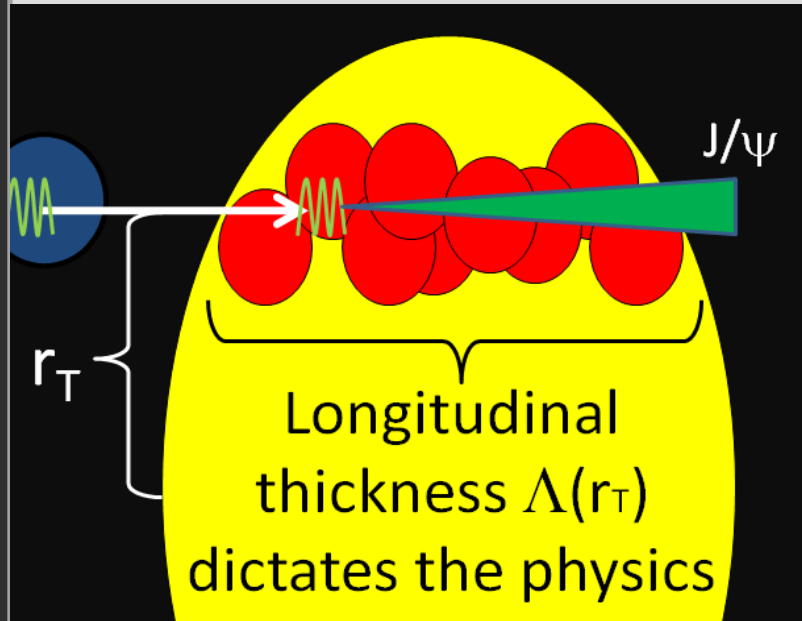
Utilized d+Au centrality  
measures to date...

Excellent opportunity to  
validate with direct photons  
nPDF of different nuclei



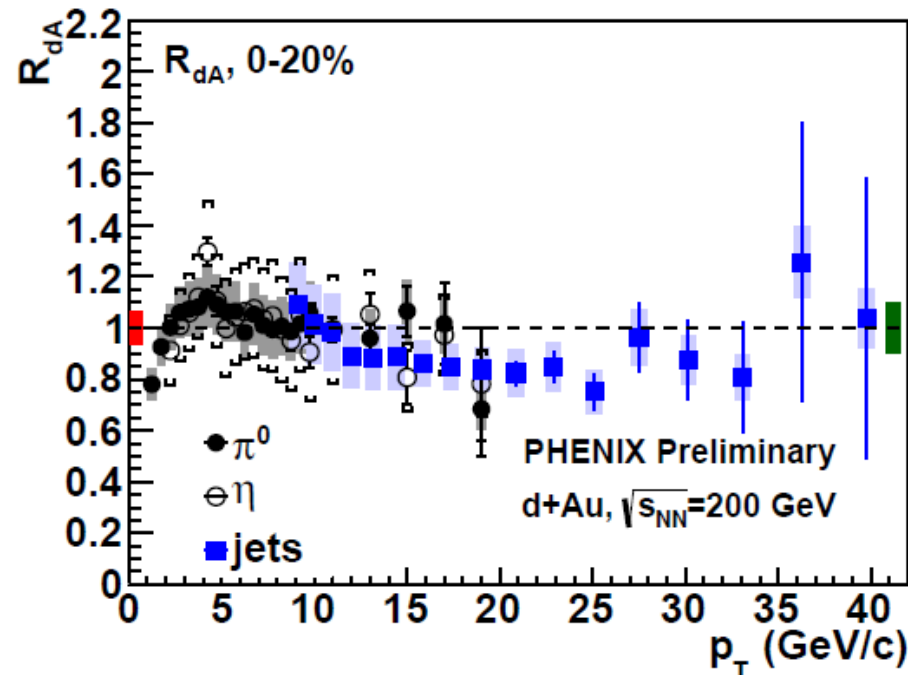
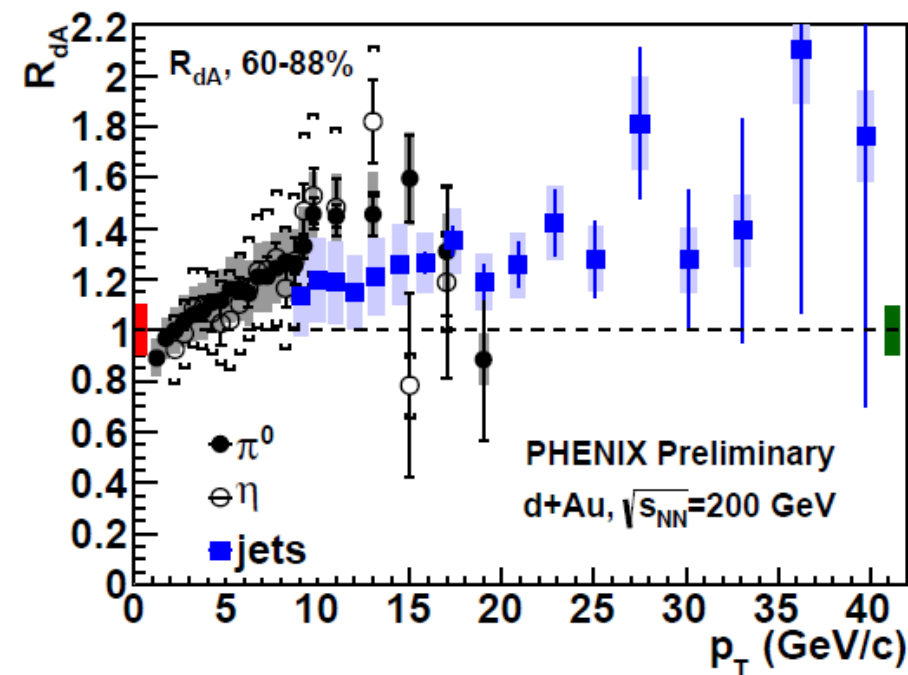
# Quarkonia in Medium (Cold or Hot)

$J/\psi$  and  $\psi'$  are hard to explain w/ nPDF &  $\sigma_{\text{breakup}}$



Instead of d+Au centrality selection, another method to change nuclear density is with different targets  
Also combined with improved S/B and for the first time  $\psi'$  at forward and backward rapidity (FVTX)

# Cracking the Geometry Code

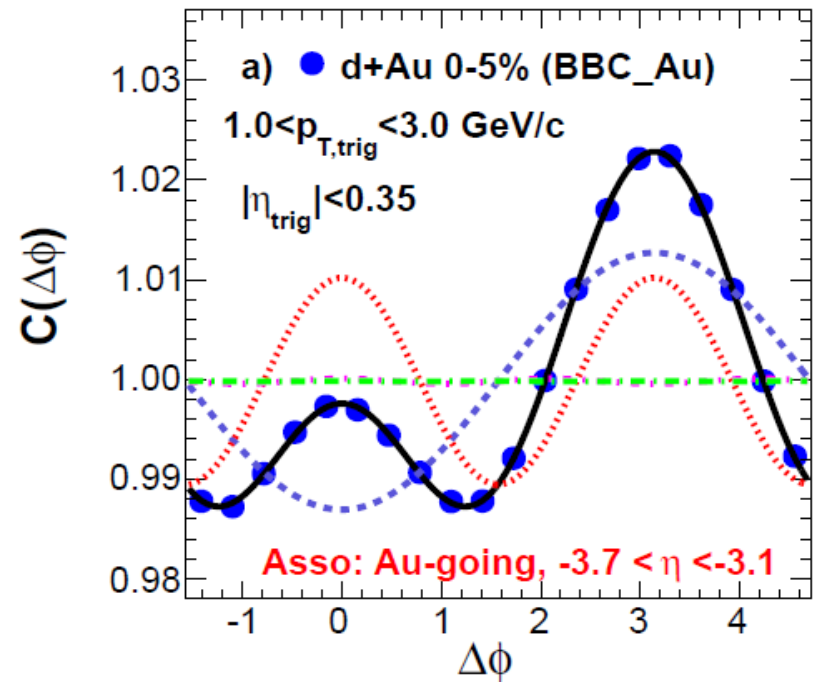
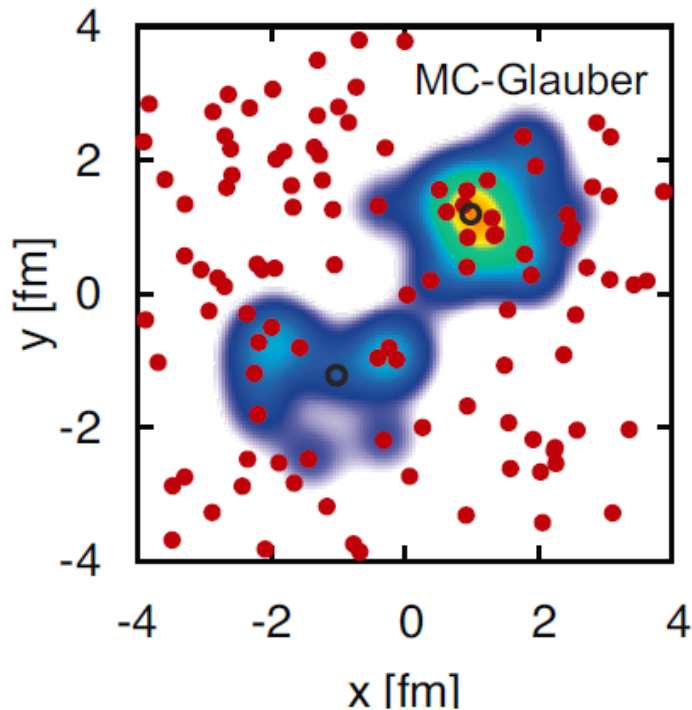


Are there competing partonic effects at play at high  $p_T$ ?

Are there auto-correlations beyond those accounted for between centrality measure and particle of interest?

2 weeks of p+Si gives  $\langle N_{coll} \rangle \sim$  (d+Au 60-88% central), better statistical precision, and no centrality categorization required (i.e. definitive test)

# Can a nearly inviscid fluid be created in $p(d) + A$ too?



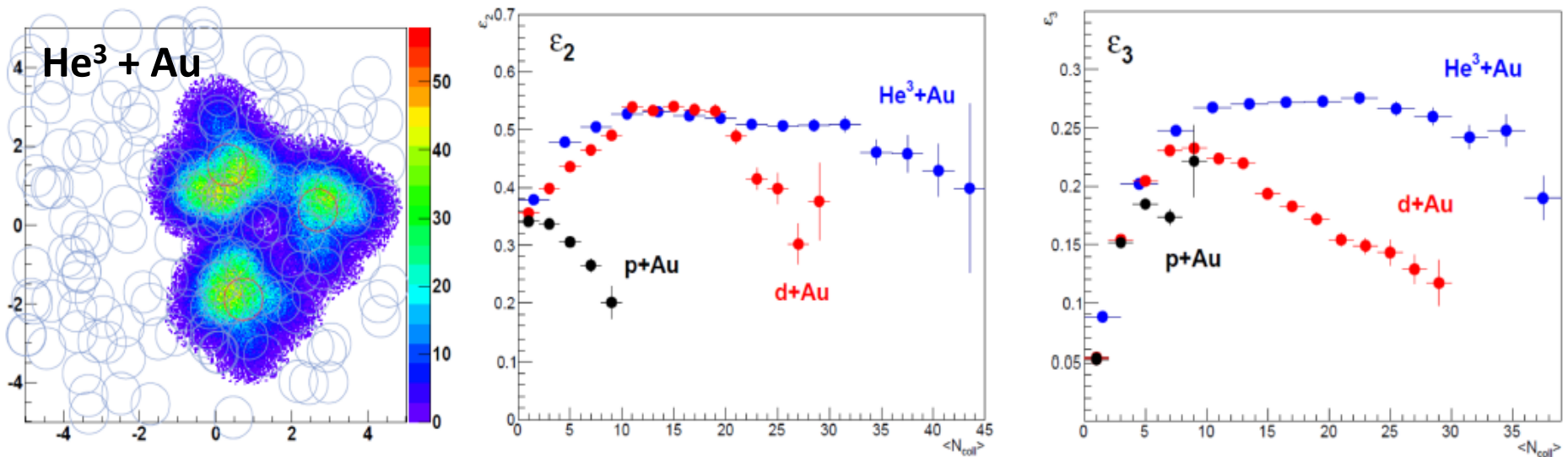
Hydrodynamic flow? Glasma diagrams? Something else?

LHC has highest parton densities...

RHIC has unique access to geometry controls...



# Geometry Control



Large PHENIX Acceptance: VTX, FVTX, MPC-EX

1 billion events with larger acceptance detectors will yield  
20++ times the statistics of current measurements

Only takes  $\sim 1$  week with high rate PHENIX DAQ

Low luminosity requirement. How quickly can CA-D switch?

# PHENIX BUP Summary

- Exciting physics program for Run-14 and Run-15
- Lots of debate within PHENIX because of all the top science opportunities
- Running periods need to be sufficient to make definitive measurements
- Run-15 in particular emphasizes the truly unique RHIC capabilities to provide definitive new insights

# Additional Material

## Explanations of Weeks to Physics Goals

# How are the number of weeks for Run-14 calculated?

We have attempted to closely follow CA-D guidance as provided at:

<http://www.rhichome.bnl.gov/RHIC/Runs/RhicProjections.pdf>

Example with Run-14 and 22 cryo-weeks (following chart on page 2 in doc.)

Cool-down from 50 K to 4 K	1 week	
Set-up mode 1 (Au-Au at 100 GeV/nucleon)	1 week	(no dedicated time for experiments)
Ramp-up mode 1	½ weeks	(8 h/night for experiments)
Data taking mode 1	11 weeks	
Set-up mode 2 (n↑-p↑ at 100 GeV)	1 week	(no dedicated time for experiments)
Ramp-up mode 2	½ weeks	(8 h/night for experiments)
Data taking mode 2 -1 with further ramp-up	6 ½ weeks	
Warm-up	½ week	

$$1 + 1 + 0.5 + 11 + 1 + 0.5 + 6.5 + 0.5 = 22 \text{ cryo-weeks}$$

Note that we quote 12 weeks of Au+Au physics running in our request  
Past experience has a very quick turn on for Au+Au (optimist view)

How did we calculate 12 weeks Au+Au for  $1.5 \text{ nb}^{-1}$   
recorded by PHENIX within  $|z| < 10 \text{ cm}$ .  
(VTX/FVTX optimal acceptance)

Physics driven goal (set by desire to decompose charm and beauty contributions over a wide  $p_T$  range).

Low to moderate  $p_T$  electrons/muons come from minimum bias data sample (no Level-1 trigger selection).

Excellent DAQ bandwidth 5 kHz even with silicon detectors.

Thus, the key is running time and luminosity exceeding 5 kHz for  $|z| < 10 \text{ cm}$  (mostly true with current projections)

$\text{Evts / week} = 5000 \times 60 \times 60 \times 24 \times 7 \times 0.7 \times 0.55 = 1.16 \text{ B} = 0.17 \text{ nb}^{-1}/\text{wk}$

*Note 0.7 (PHENIX Uptime), 0.55 (RHIC Uptime)*

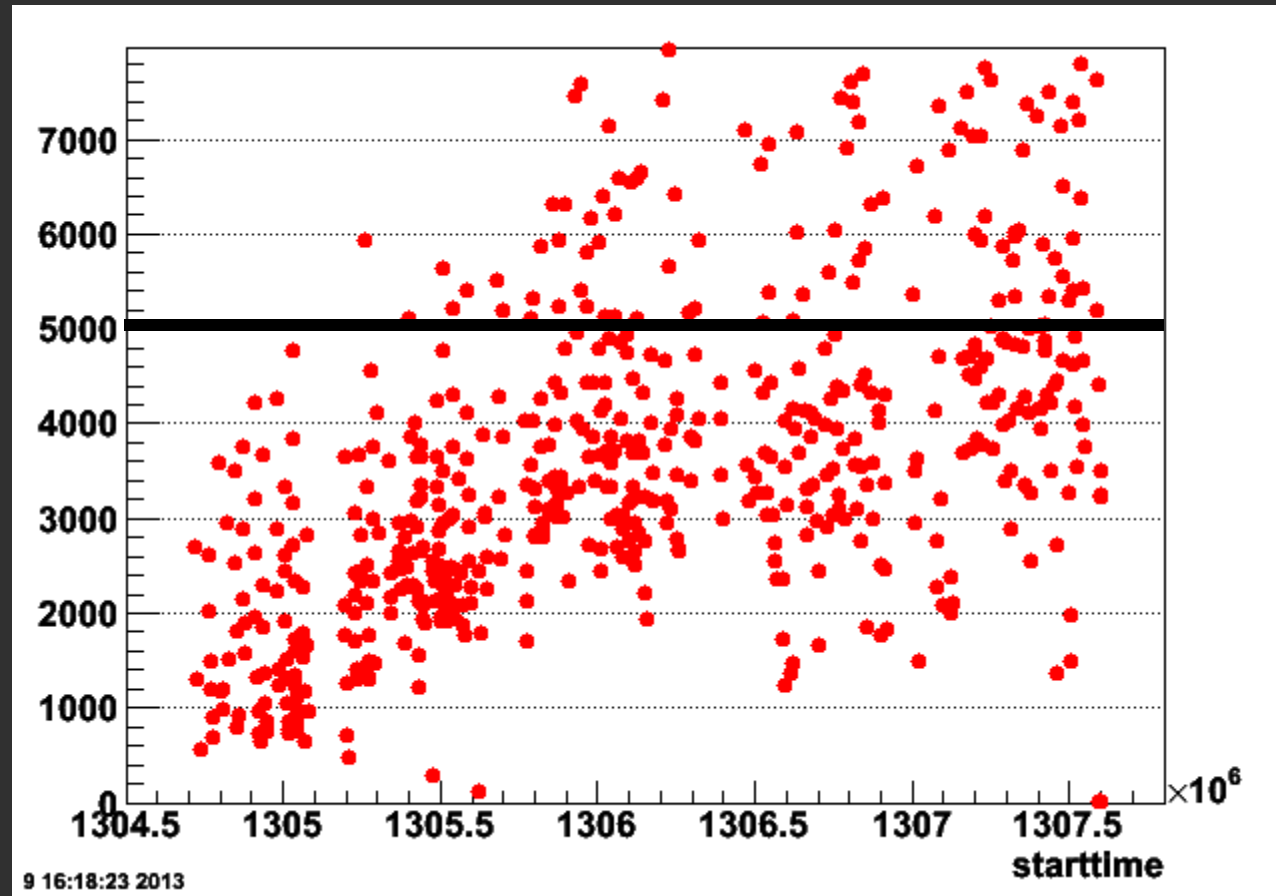
Thus, it might only take 9 weeks to achieve this goal.

However, there is some ramp-up time for luminosity to exceed the 5 kHz DAQ bandwidth. There is also some vertex trigger resolution.

Based on past experience, scale luminosity/wk  $\times 0.75$   
and that gives the 12 week request.

# Run-11 Au+Au @ 200 GeV Performance

Au+Au interaction  
rate within  
 $|z| < 10$  cm



Time Tag throughout Run-11

CA-D Quoted Run-11 Achieved:  $L$  (peak)  $50 \times 10^{26}$  [31 kHz]  $L$ (ave) =  $30 \times 10^{26}$  [18 kHz]  
Fraction of all interactions within  $|z| < 10$  cm  $\rightarrow$  0.30  
Thus, within  $|z| < 10$  cm these correspond to peak 9 kHz and average 6 kHz



How did we calculate 6.5 weeks p+p for  $30 \text{ pb}^{-1}$  recorded  
by PHENIX within  $|z| < 30 \text{ cm}$ .  
(Central Arm  $\pi^0$  acceptance)

Physics driven goal (set by desire substantially improve low-x  
constraint on gluon contribution to proton spin).

For the p+p @ 200 GeV, we took the first two weeks at the minimum  
( $9.3 \text{ pb}^{-1}$  per week) and then 4.5 weeks at the mean of the  
minimum/maximum, and that gives us the total for 6.5 weeks. The  
Figure 5 has a slower ramp on than the minimum, on the other hand it  
is quite possible to achieve higher than the mean of the min/max for  
the running duration afterwards.

We then fold in the PHENIX uptime and the z-vertex selection factors.

# How are the number of weeks calculated (Run-15)?

Cool-down from 50 K to 4 K	1 week	
Set-up mode 1 (p-p at 100 GeV/nucleon)	1 week	(no dedicated time for experiments)
Ramp-up mode 1	½ week	(8 h/night for experiments)
Data taking mode 1	9 weeks	
Set-up mode 2 (p-Au @ 100 GeV/nucleon)	1 week	(no dedicated time for experiments)
Ramp-up mode 2	½ week	(8 h/night for experiments)
Data taking mode 2	4 weeks	
Set-up/Ramp-up/Data taking mode 3 d-Au	1 week	(note very low luminosity requirement)
Set-up/Ramp-up/Data taking mode 4 He <sup>3</sup> -Au	1 week	(note very low luminosity requirement)
Mode 5 (p+Si/Al, p+Cu)	2.5 weeks	(what fits depends on earlier perform.)
Warm-up	½ week	
$1 + 1 + 0.5 + 9 + 1 + 0.5 + 4 + 1 + 1 + 2.5 + 0.5 = 22$		

Exact switching times and ramp-up for p+A needs more guidance.  
Aggressive physics driven schedule. Modes 3-5 will need prioritization depending on running time and switching time.

Phil Pile requested values for RHIC delivered luminosities corresponding to the PHENIX BUP requests. They are provided below. Of course, the physics projections require the PHENIX recorded/sampled luminosities within specified z-vertex cuts and the PHENIX uptime.

Run-14: p+p @ 200 GeV Long --> delivered =  $30 \text{ pb}^{-1} \times (1/0.7) \times (1/0.6) = 71 \text{ pb}^{-1}$

Run-15: p+p @ 200 GeV Trans --> delivered =  $50 \text{ pb}^{-1} \times (1/0.7) \times (1/0.7) = 102 \text{ pb}^{-1}$

Run-15: p+Au @ 200 GeV Trans --> delivered =  $150 \text{ nb}^{-1} \times (1/0.7) \times (1/0.7) = 306 \text{ nb}^{-1}$

Run-15: p+Si @ 200 GeV Trans --> delivered =  $450 \text{ nb}^{-1} \times (1/0.7) \times (1/0.7) = 918 \text{ nb}^{-1}$

Run-15: p+Cu @ 200 GeV Trans --> delivered =  $225 \text{ nb}^{-1} \times (1/0.7) \times (1/0.7) = 459 \text{ nb}^{-1}$

These values above have a straightforward correspondence as outlined in the BUP.

For the Run-14 Au+Au request, the main physics driver is bandwidth limited at 5 kHz by the PHENIX DAQ. Thus, what matters is the RHIC uptime and when the luminosity within  $|z| < 10 \text{ cm}$  exceeds this 5 kHz limit. See earlier slide.

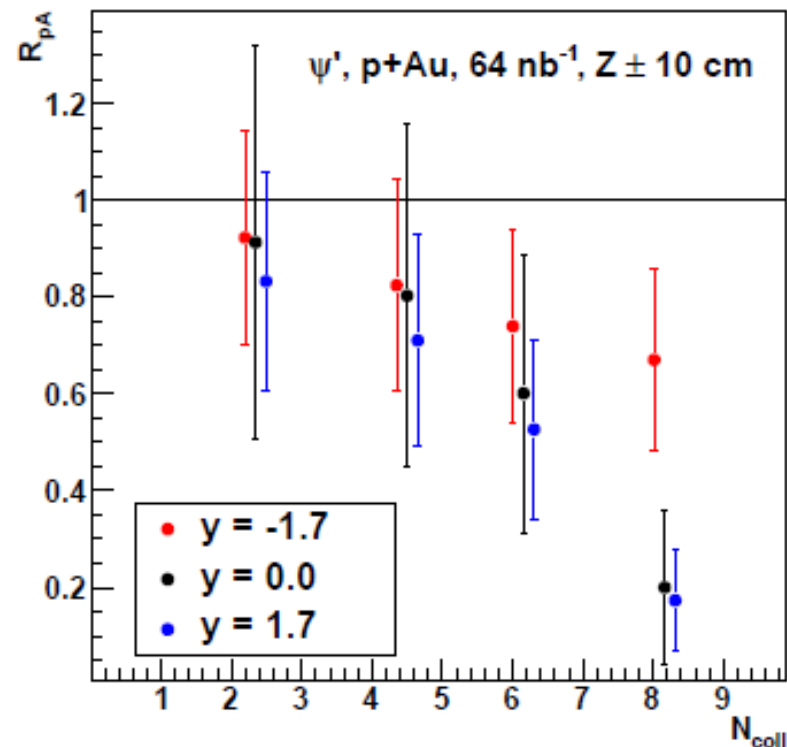
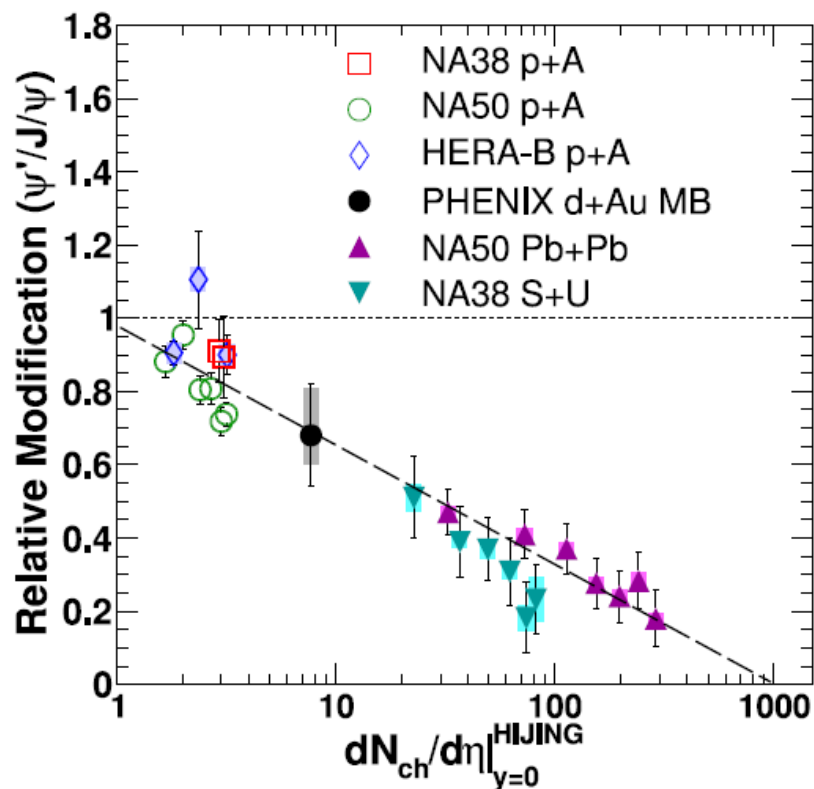
For the Run-15 d+Au and Run-15  $\text{He}^3$ +Au these are again bulk observables and come from the minimum bias trigger sample. Thus, the calculation to get 1 billion events is simply  $5000 \text{ (DAQ rate)} \times 60 \times 60 \times 24 \times 5 \text{ (days)} \times 0.7 \text{ (PHENIX uptime)} \times 0.6 \text{ (RHIC delivery)}$ . The luminosities required during these 5 days much less than 10% of the minimum value quoted for delivered luminosities.

# EXTRAS

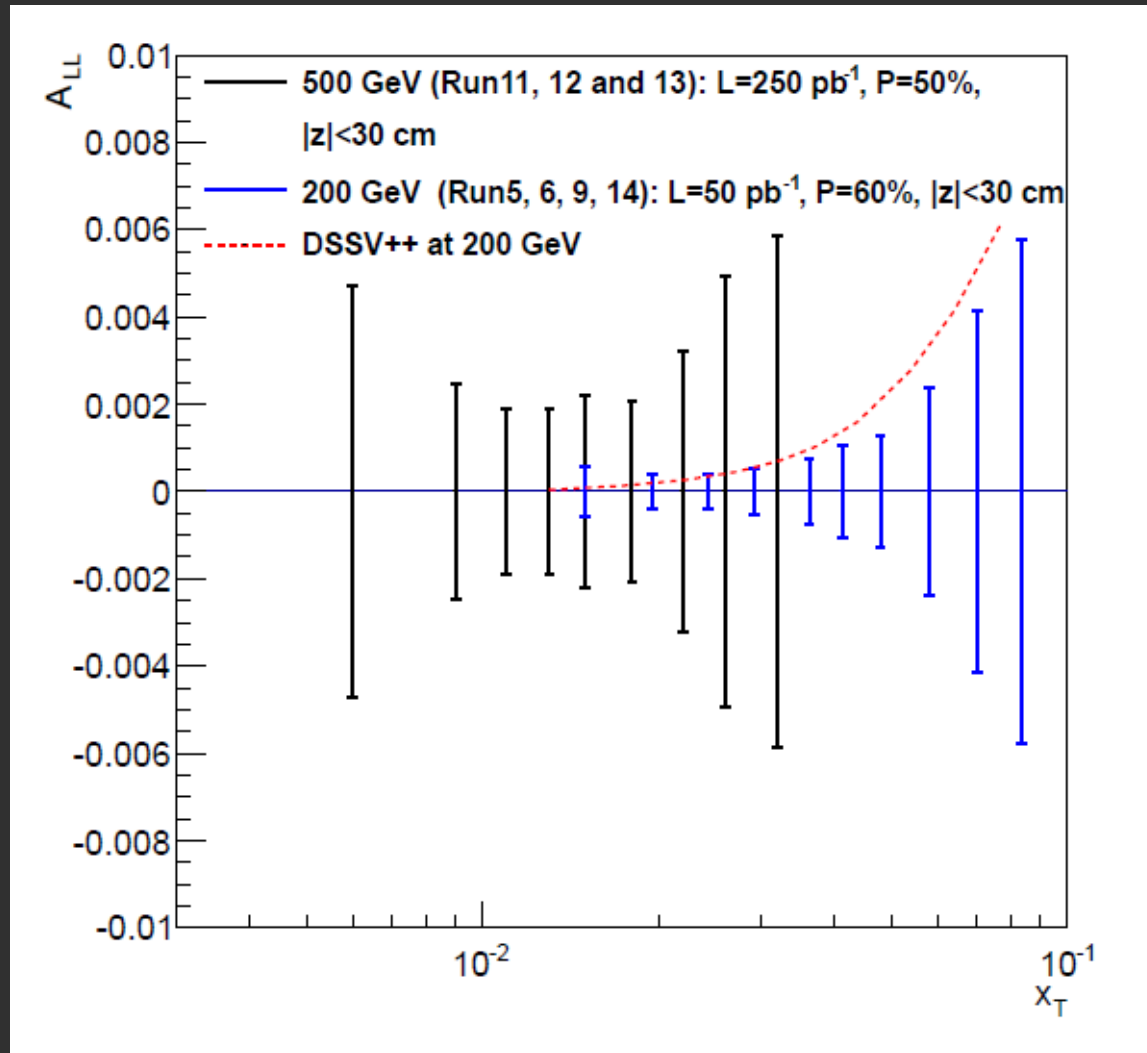
# $\psi'$ Physics Implications

<http://arxiv.org/abs/arXiv:1305.5516>

## Projections Run-15



Why does a smaller p+p @ 200 GeV data set constrain the low-x gluon spin contributions better than larger p+p @ 500 GeV data set?



# Single transverse spin asymmetry of prompt photon production

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(Dated: December 21, 2012)

We study the single transverse spin asymmetry of prompt photon production in high energy proton-proton scattering. We include the contributions from both the direct and fragmentation photons. While the asymmetry for direct photon production receives only the Sivers type of contribution, the asymmetry for fragmentation photons receives both the Sivers and Collins types of contributions. We make a model calculation for quark-to-photon Collins function, which is then used to estimate the Collins asymmetry for fragmentation photons. We find that the Collins asymmetry for fragmentation photons is very small, thus the single transverse spin asymmetry of prompt photon production is mainly coming from the Sivers asymmetry in direct and fragmentation photons. We make predictions for the prompt photon spin asymmetry at RHIC energy, and emphasize the importance of such a measurement. The asymmetry of prompt photon production can provide a good measurement for the important twist-three quark-gluon correlation function, which is urgently needed in order to resolve the “sign mismatch” puzzle.

# Transverse Motion of Partons in a Proton

Two theoretical approaches to the correlation between parton  $k_T$  and proton spin:

**TMD:** Correlation between nucleon spin and parton  $k_T$ .

Phys. Rev. D **41**, 83 (1990)  
Phys. Rev. D **43**, 261, (1991)

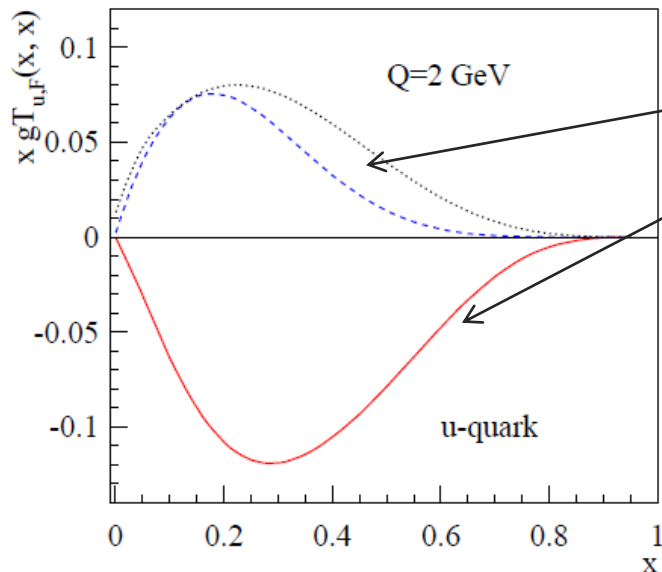
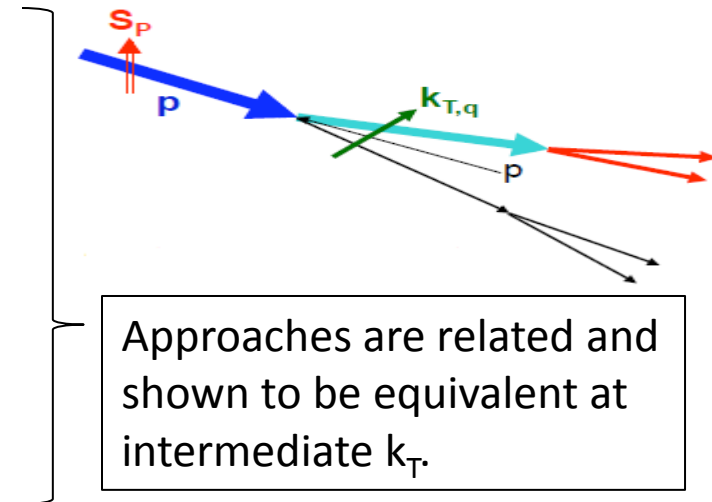
$$d\sigma^\uparrow \propto \underbrace{\bar{f}_{1T}^{\perp q}(x, k_\perp^2)}_{\text{"Sivers" distribution}} \cdot D_q^h(z)$$

"Sivers" distribution

**Twist-3:** Quark-gluon correlations in polarized hadron

Phys. Rev. D **59**, 014004 (1998)

$$gT_{q,F}(x, x) = - \int d^2 k_\perp \frac{|k_\perp|^2}{M} f_{1T}^{\perp q}(x, k_\perp^2)$$



Extracted from SIDIS data (*extrapolated  $x > 0.3$* )

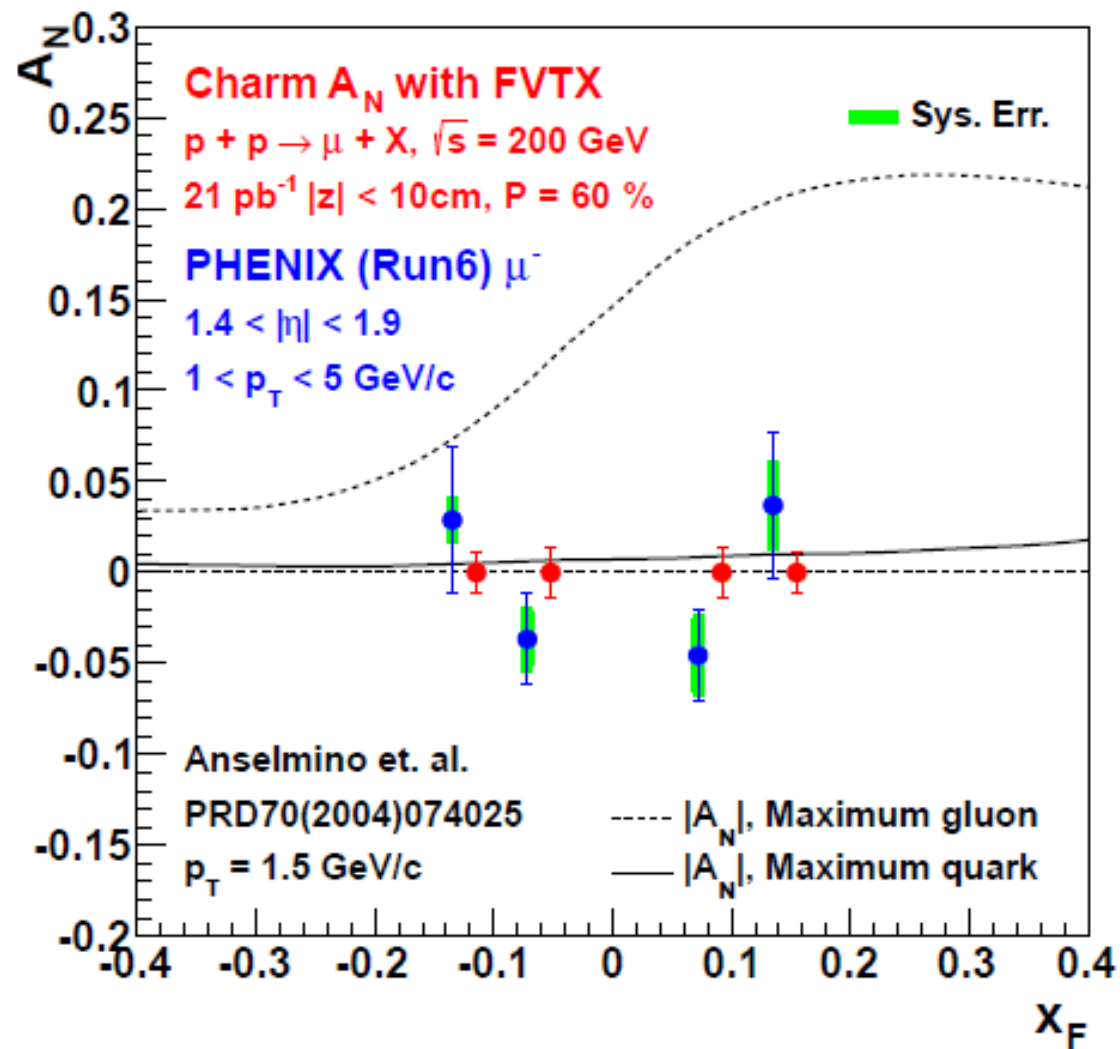
Extracted from  $p^\uparrow + p \rightarrow h + X$

(*Assuming that transverse motion dominates the hadronic  $A_N$* )

Two Possibilities:

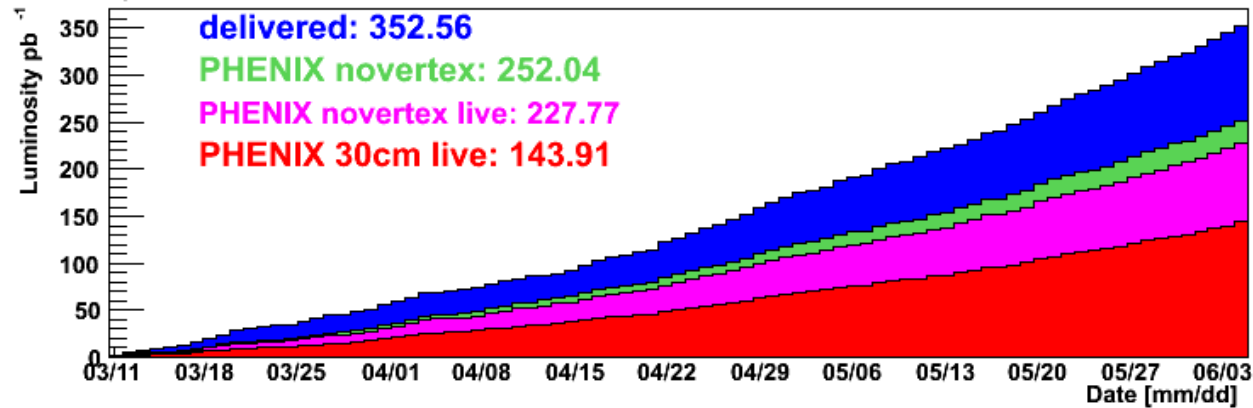
- Transverse motion not responsible for hadronic  $A_N$
- SIDIS extrapolation to large  $x$  not valid



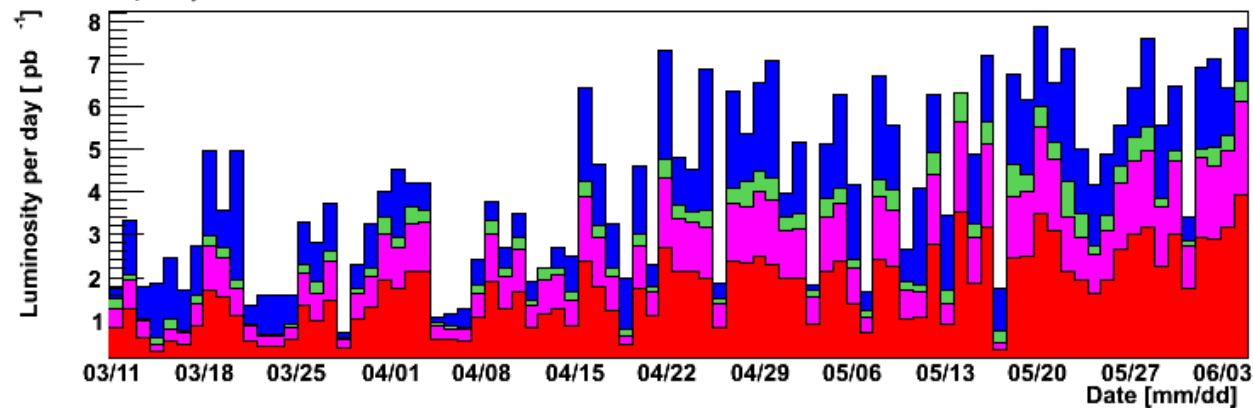


June 3, 2013

ZDC NS integrated Lumi



ZDC NS Lumi/per day



ZDC NS Effi/per day

